

# SolarX – Work Package I

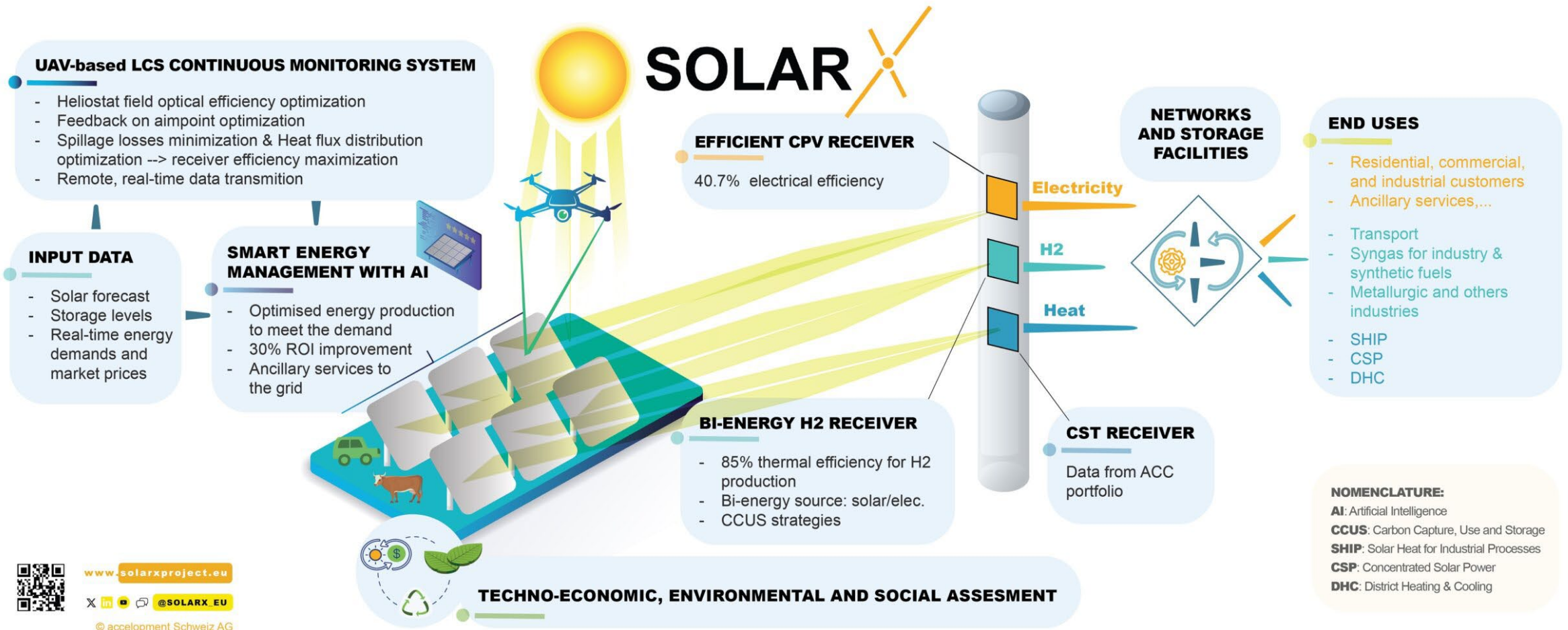
## Solar management & control strategies

25.09.2025 | Maitane Ferreres, Gregor Bern, Anna Dittmann, Wiebke Herzberg, Peter Schöttl, Sarah Riley, Anna Vaclavikova

Lead: Fraunhofer ISE

Contributing: UDL, ACC, EMD, DTU, CNRS, CYI

# WP1 Solar management & control strategies: Overview



[www.solarxproject.eu](http://www.solarxproject.eu)

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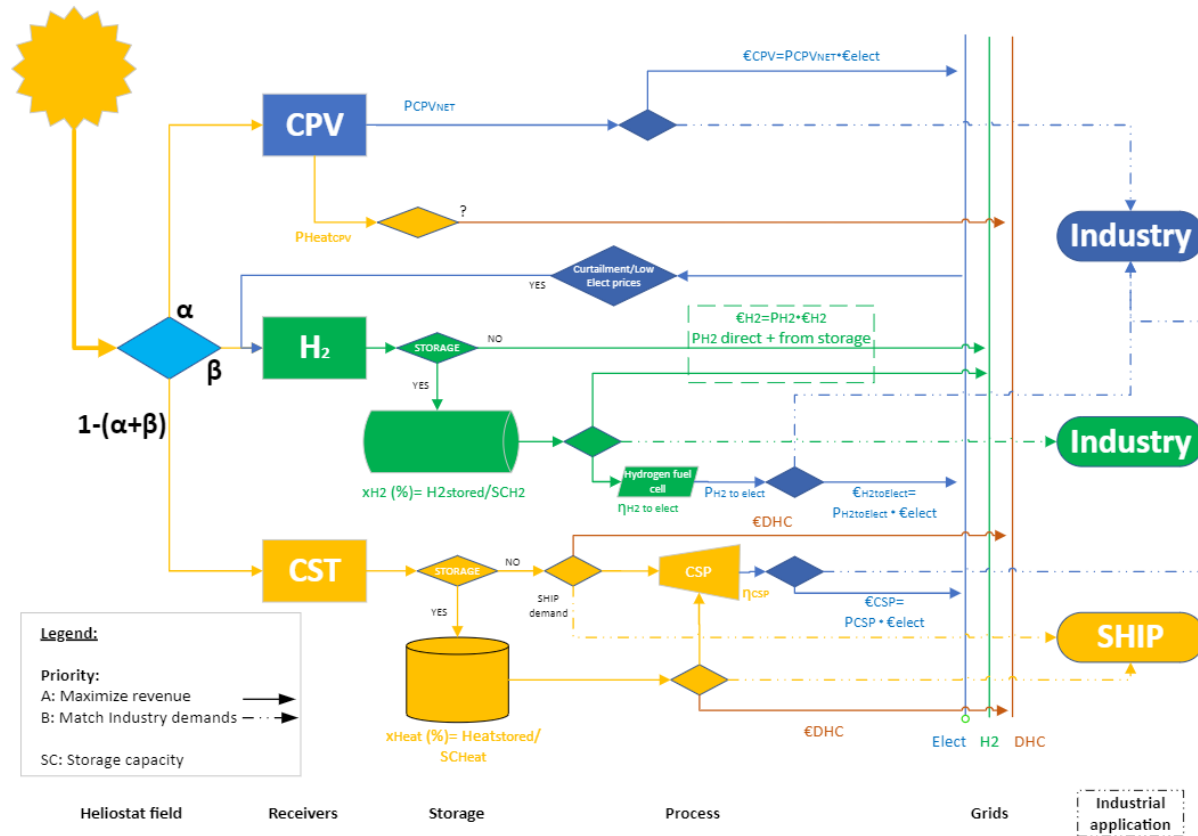
# SolarX Solar Management Agenda

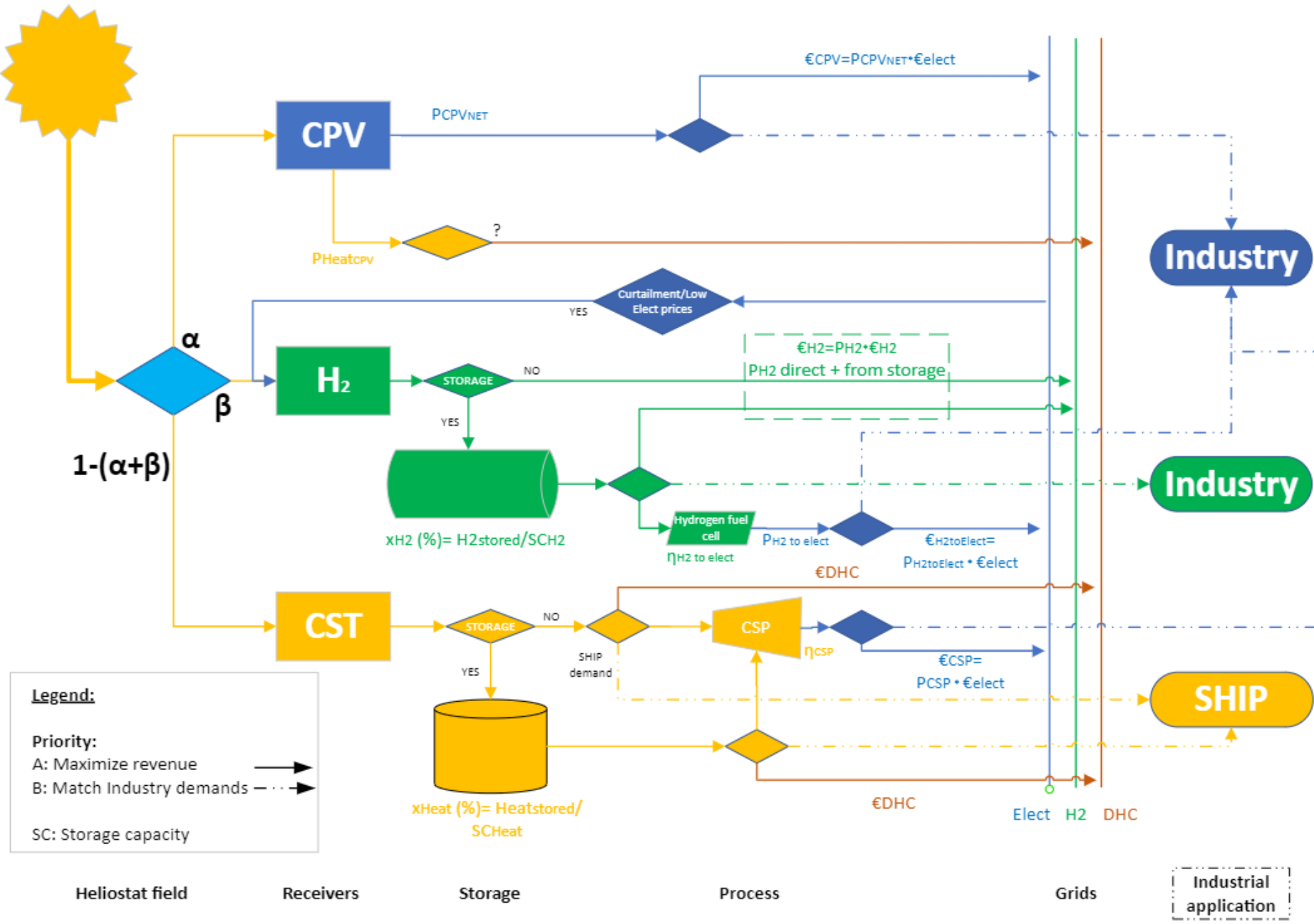


- **SolarX System Model**
  - *System integration and energy flow model*
  - *Parameterization and flux management*
  - *Exemplarily Study Case*
- **SolarX System Monitoring**
  - *Solar Resource Assessment – DNI Nowcasting*
  - *Fast and Precise Heliostat Calibration*
  - *Lessons Learned*
- **Summary**

# SolarX System Model

## System integration and energy flow model

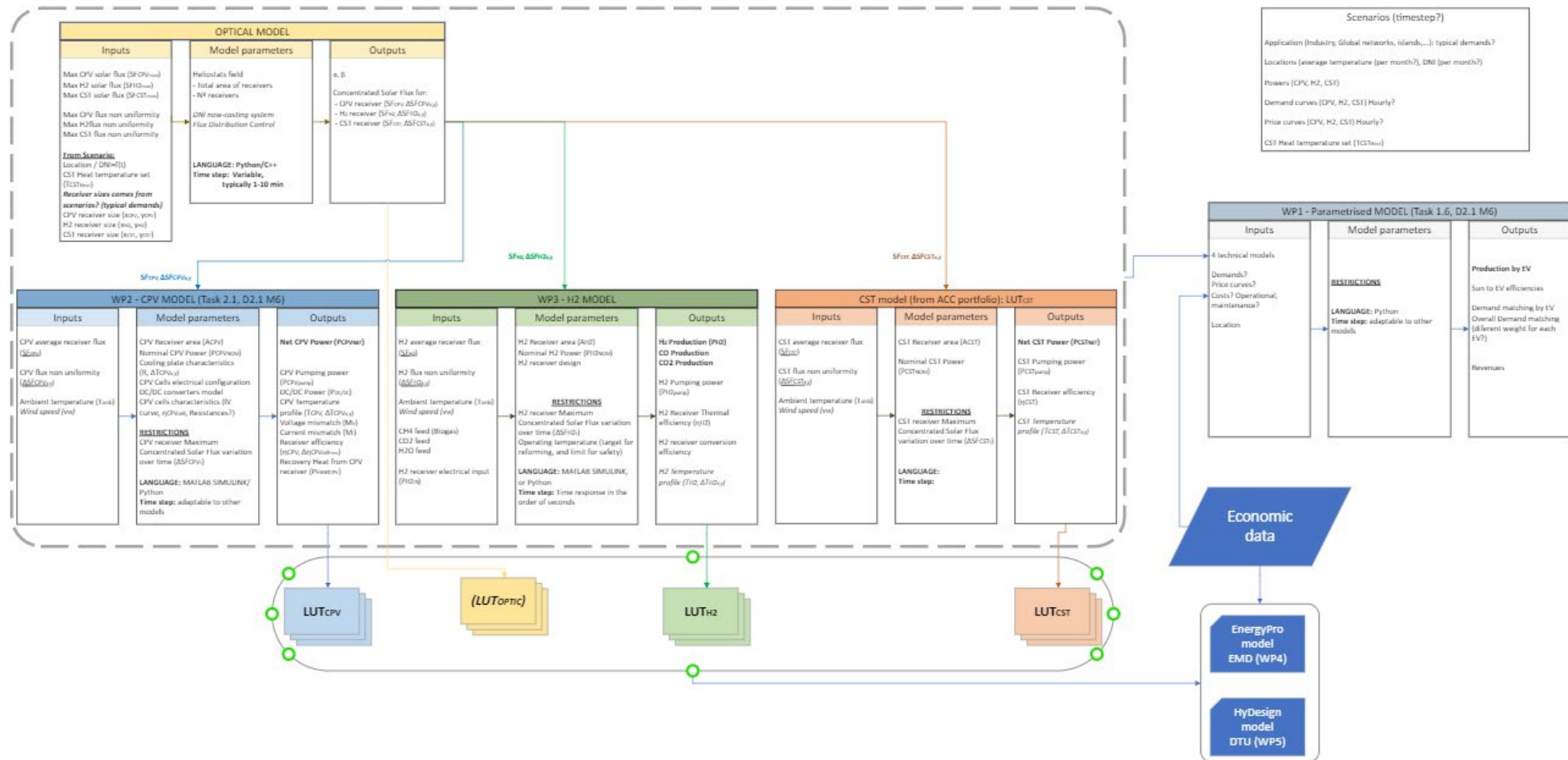




# SolarX System Model

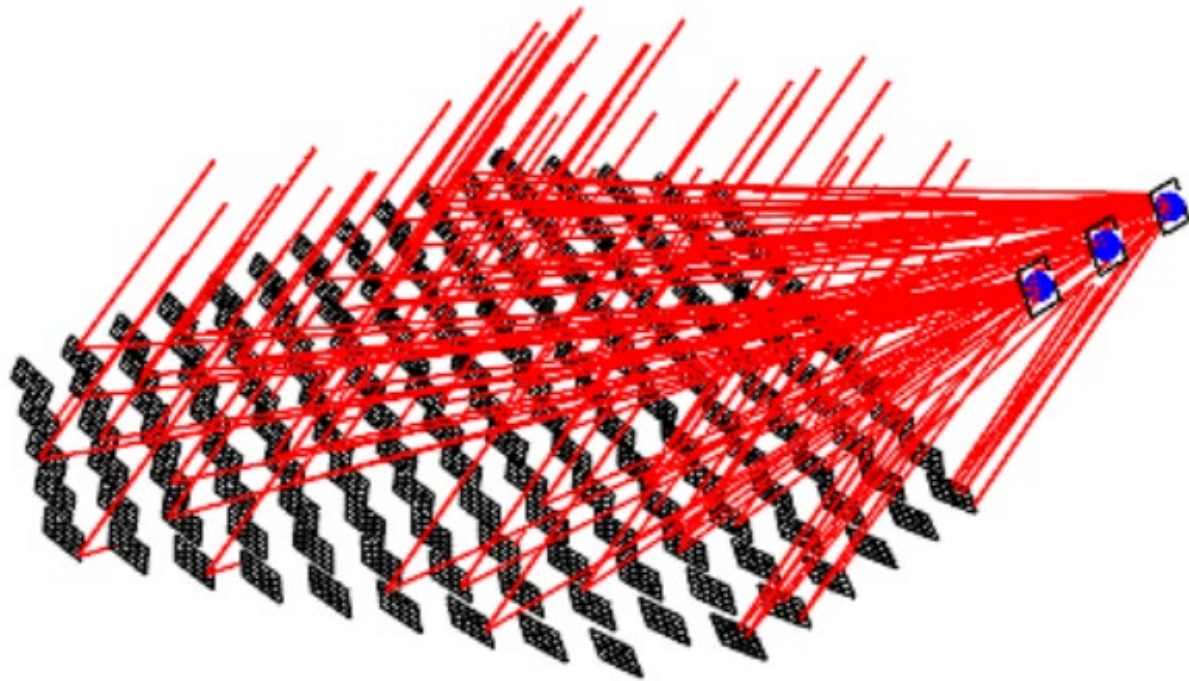


## System data interaction – heliostat field | receivers



# SolarX System Model

## Parameterization and flux control

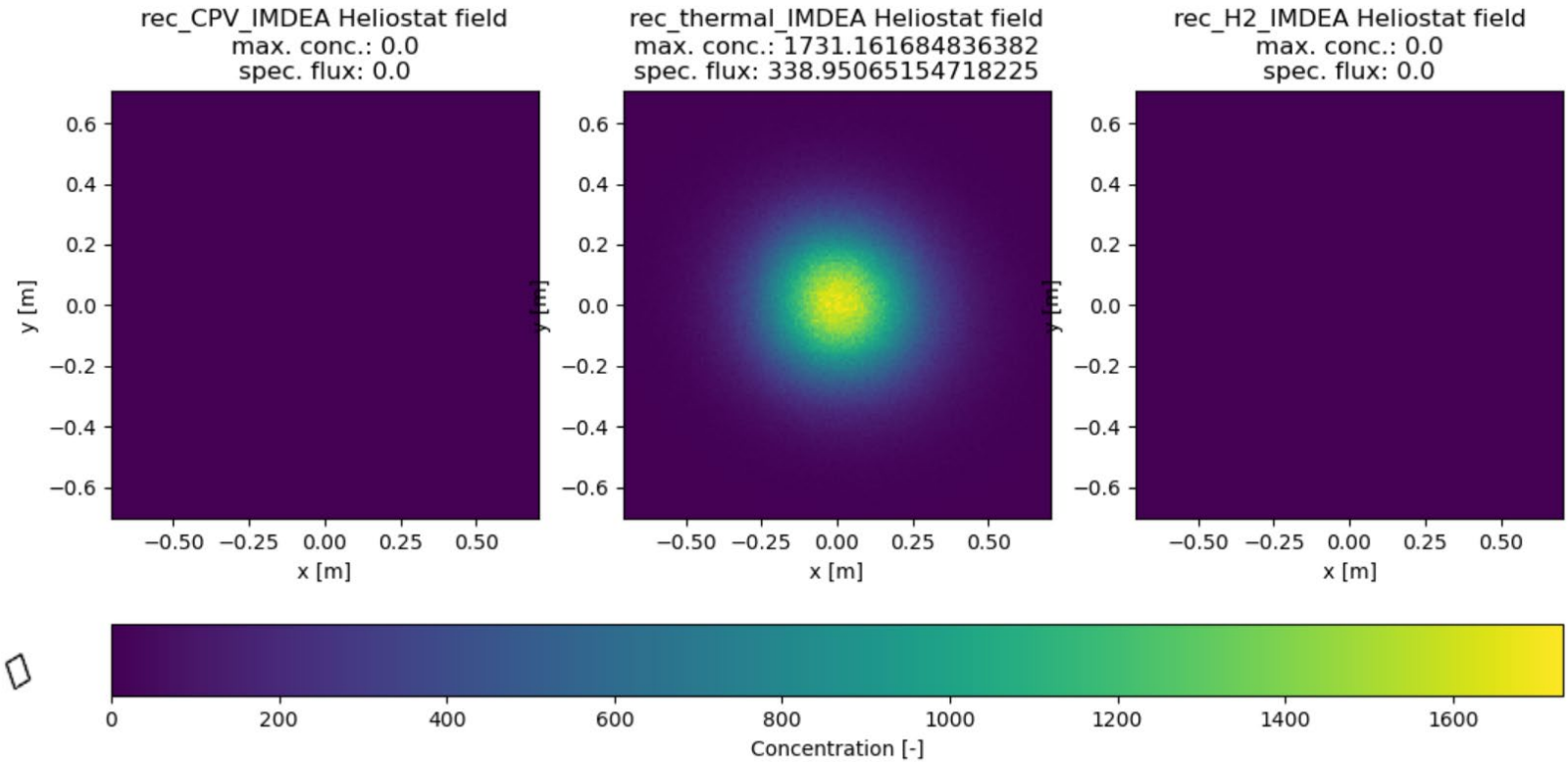
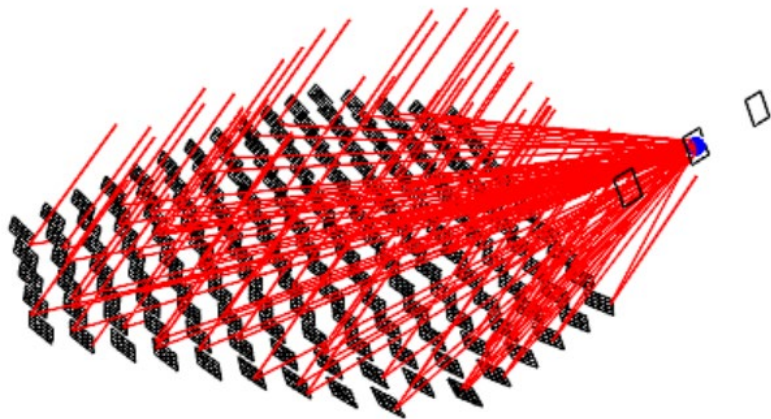


# SolarX System Model

## Parameterization and flux control

### Methodology:

1. Ray tracing simulation per sun position for single receivers

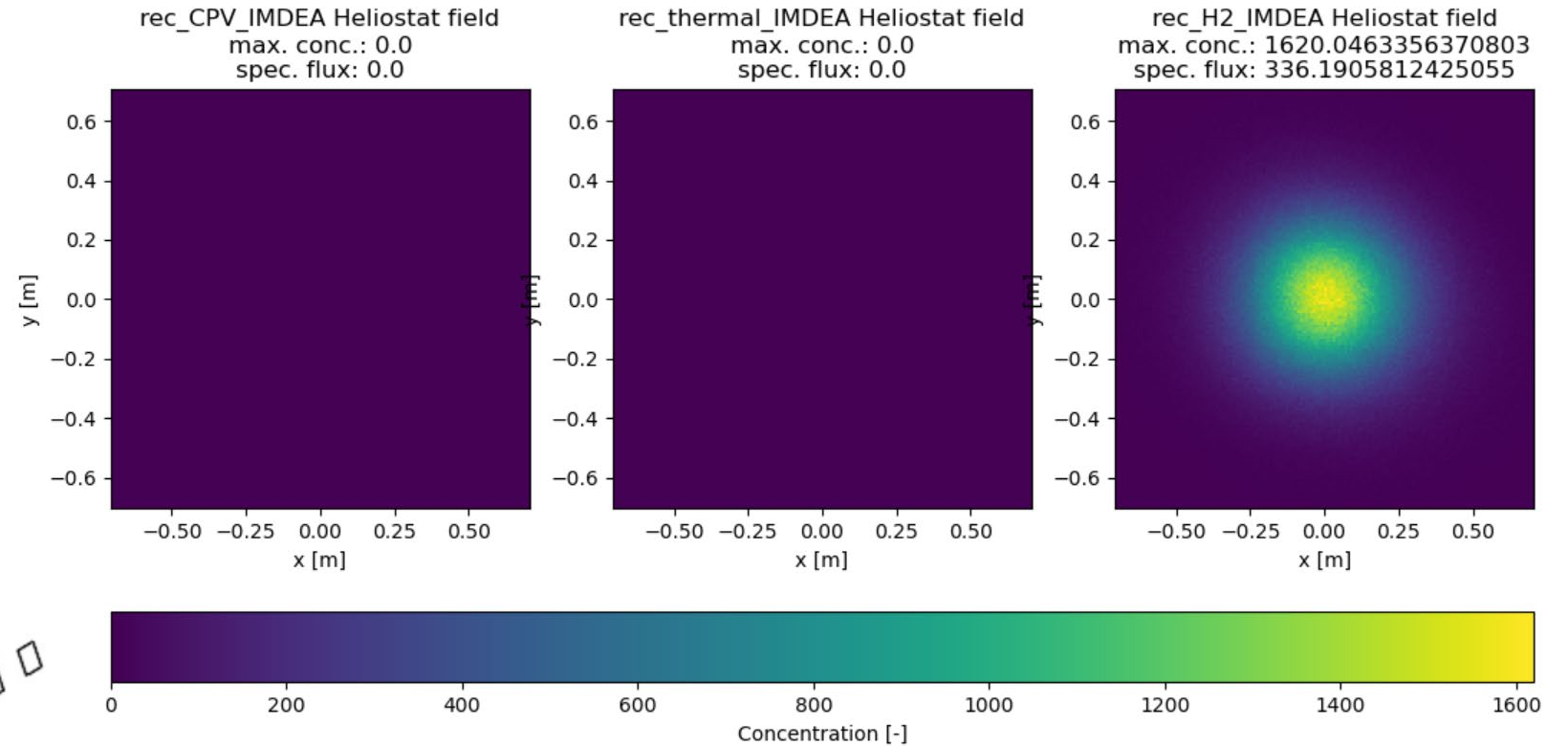
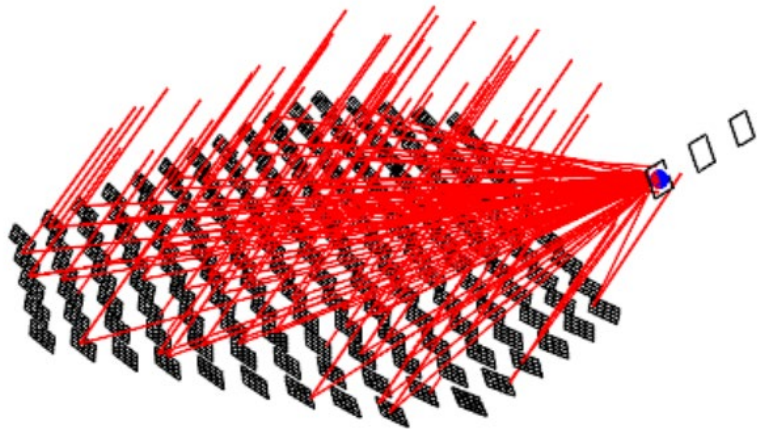


# SolarX System Model

## Parameterization and flux control

### Methodology:

1. Ray tracing simulation per sun position for single receivers

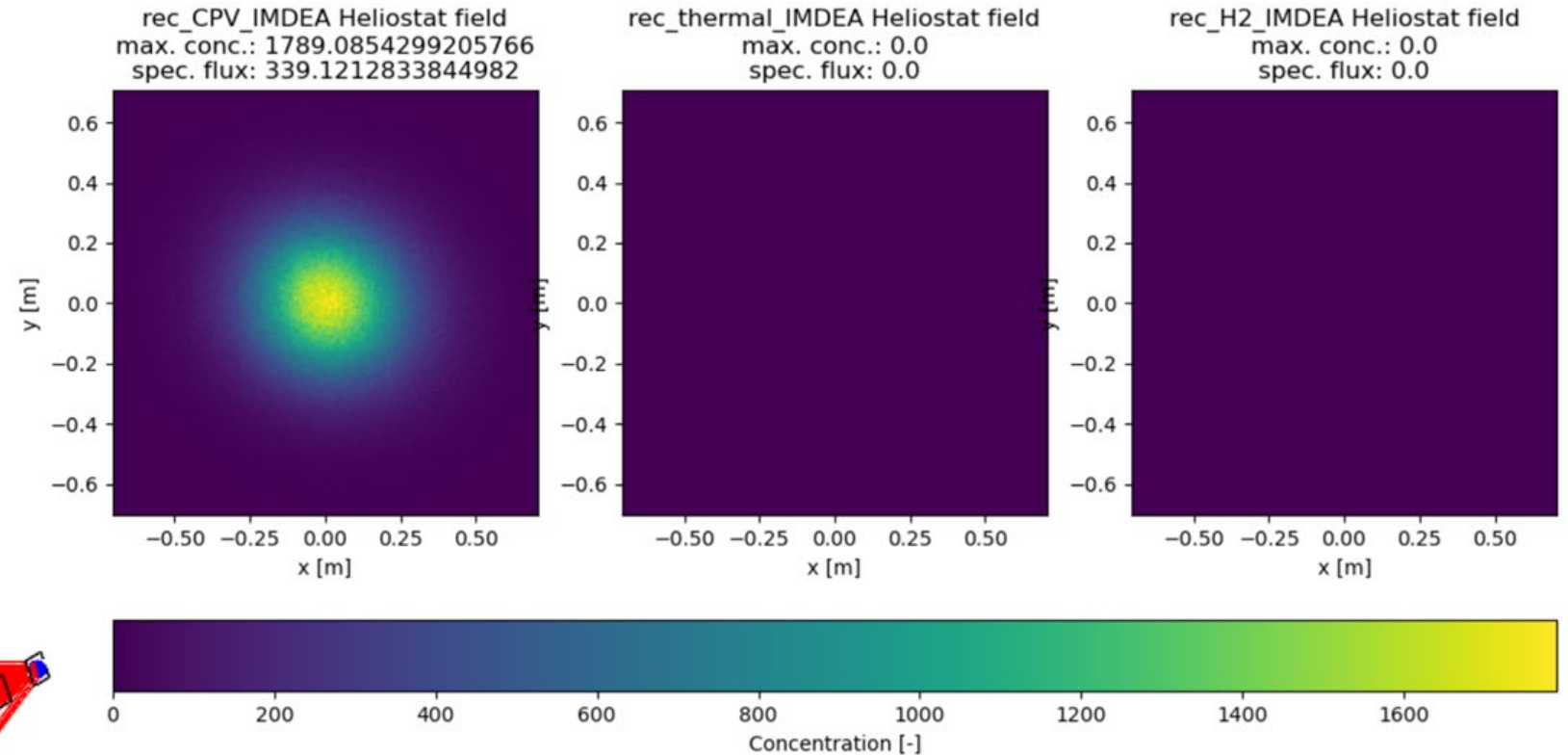
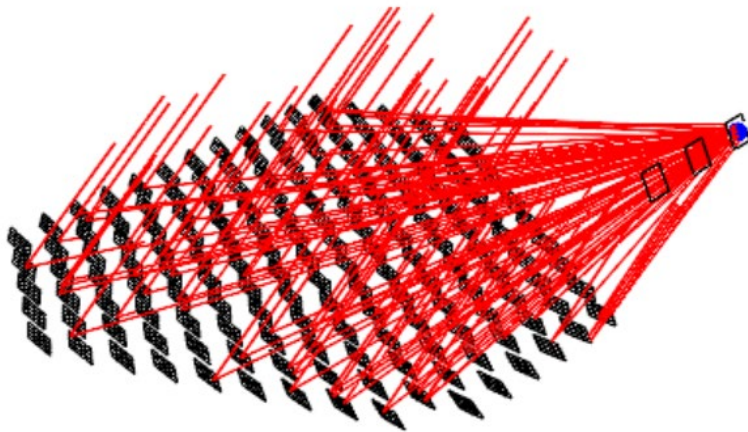


# SolarX System Model

## Parameterization and flux control

### Methodology:

1. Ray tracing simulation per sun position for single receivers



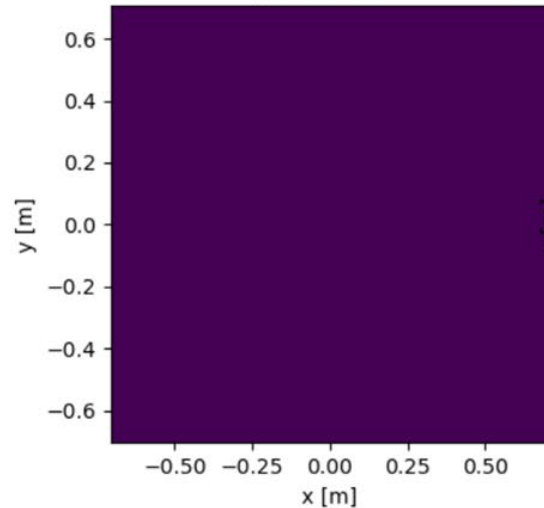
# SolarX System Model

## Parameterization and flux control

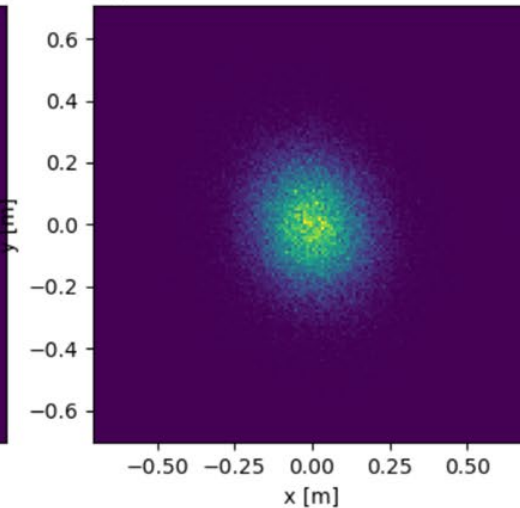
### Methodology:

1. Ray tracing simulation per sunposition for single receivers
2. From binray ray out files **select the impact of single heliostat** in the receivers

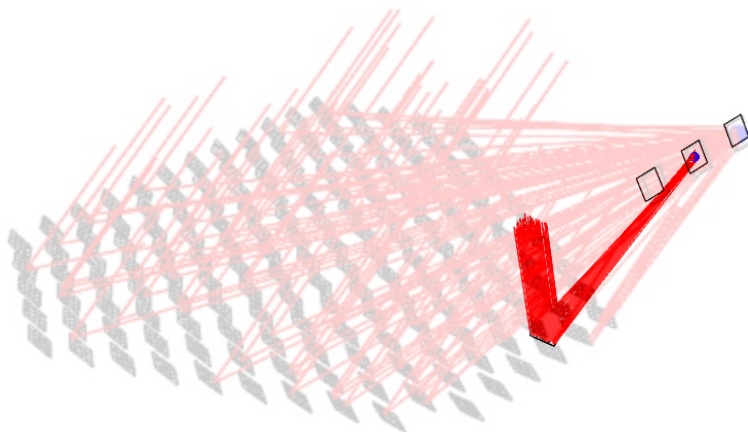
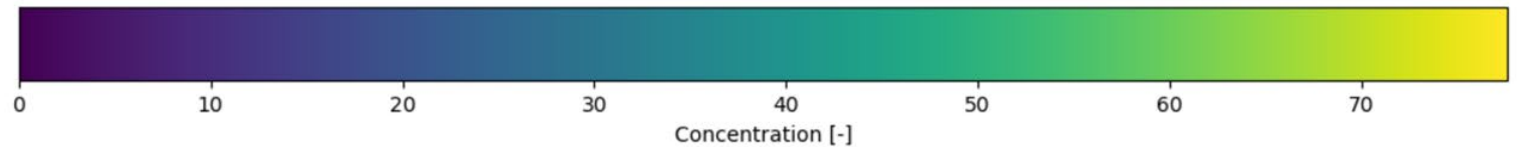
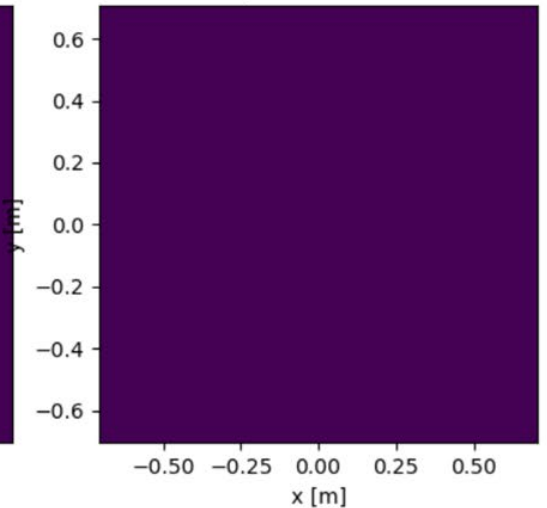
rec\_CPV\_IMDEA Heliostat field  
max. conc.: 0.0  
spec. flux: 0.0



rec\_thermal\_IMDEA Heliostat field  
max. conc.: 77.6250307614526  
spec. flux: 5.3926483374188665



rec\_H2\_IMDEA Heliostat field  
max. conc.: 0.0  
spec. flux: 0.0

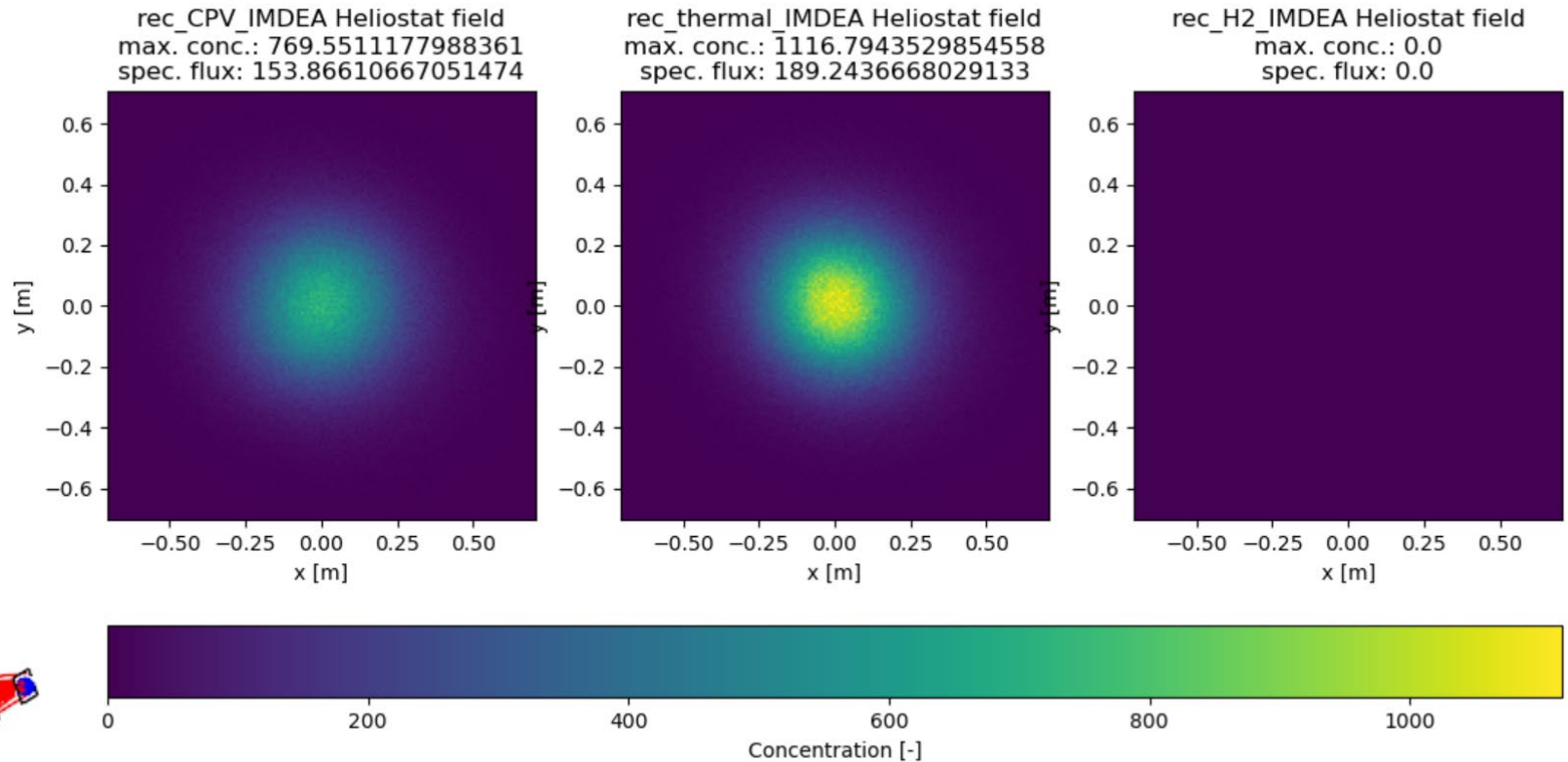
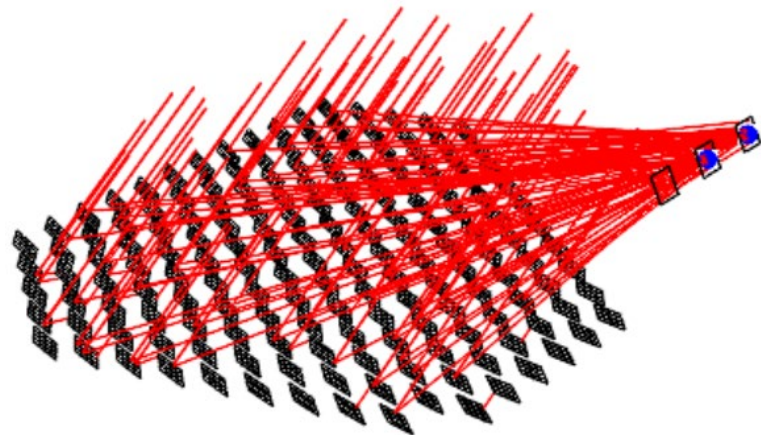


# SolarX System Model

## Parameterization and flux control

### Methodology:

1. Ray tracing simulation per sunposition for single receivers
2. From binray ray out files **select the impact of single heliostat** in the receivers
3. *From single heliostat create the desired combination of ratios in receivers*



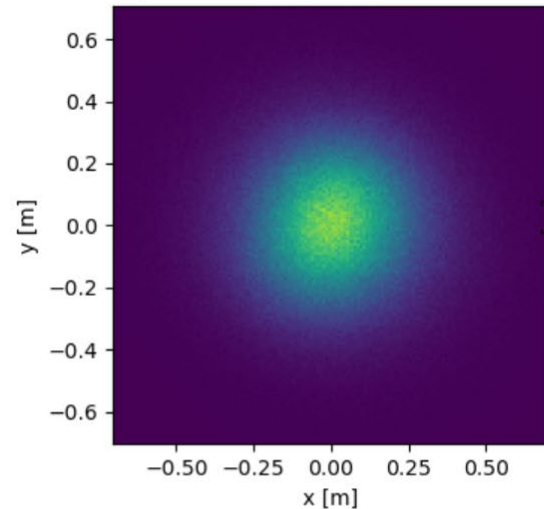
# SolarX System Model

## Parameterization and flux control

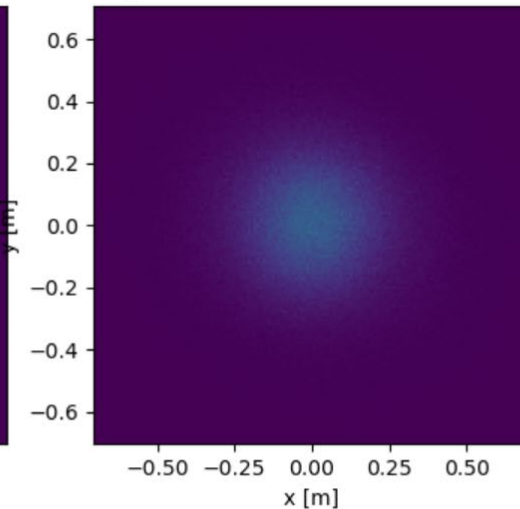
### Methodology:

1. Ray tracing simulation per sunposition for single receivers
2. From binray ray out files **select the impact of single heliostat** in the receivers
3. *From single heliostat create the desired combination of ratios in receivers*

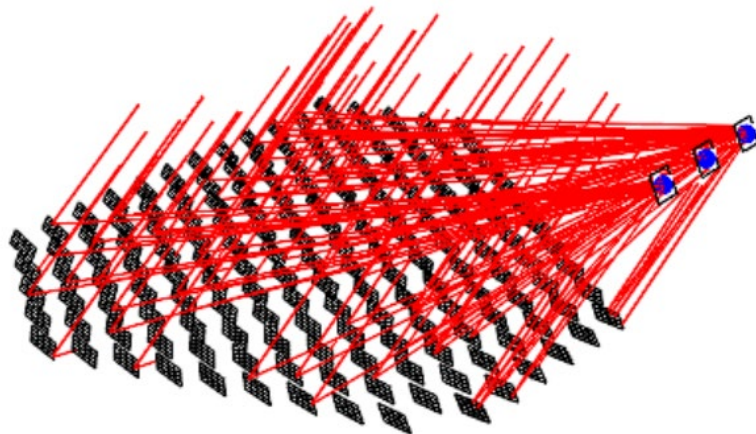
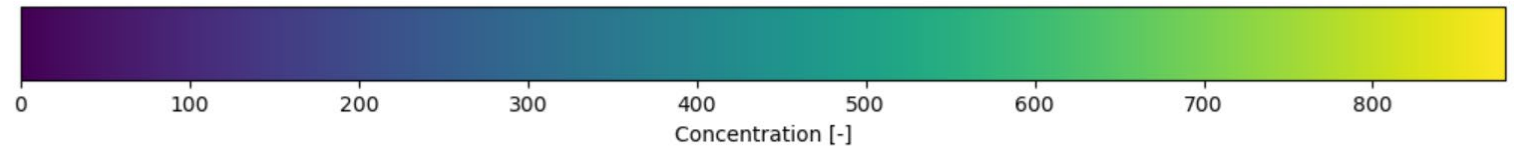
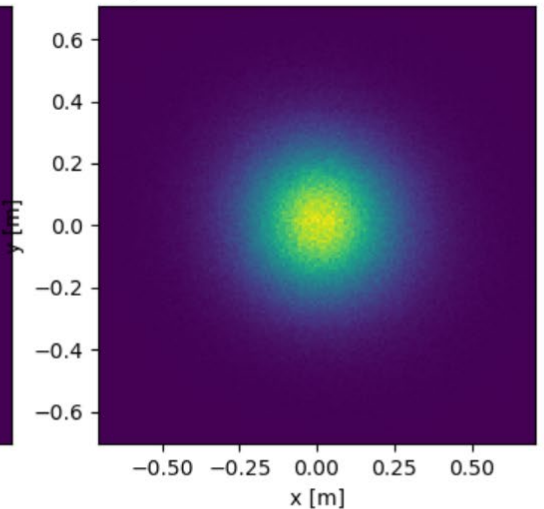
rec\_CPV\_IMDEA Heliostat field  
max. conc.: 764.923707825737  
spec. flux: 153.86710783795868



rec\_thermal\_IMDEA Heliostat field  
max. conc.: 291.70027044075823  
spec. flux: 44.15495654711093



rec\_H2\_IMDEA Heliostat field  
max. conc.: 878.3710588655593  
spec. flux: 148.6917141265528

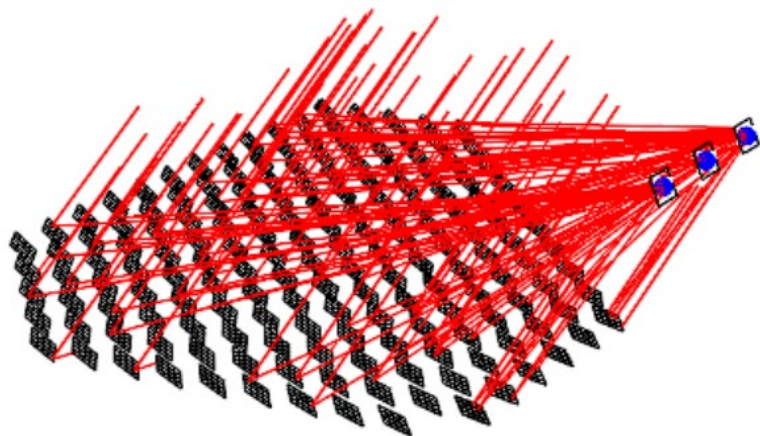
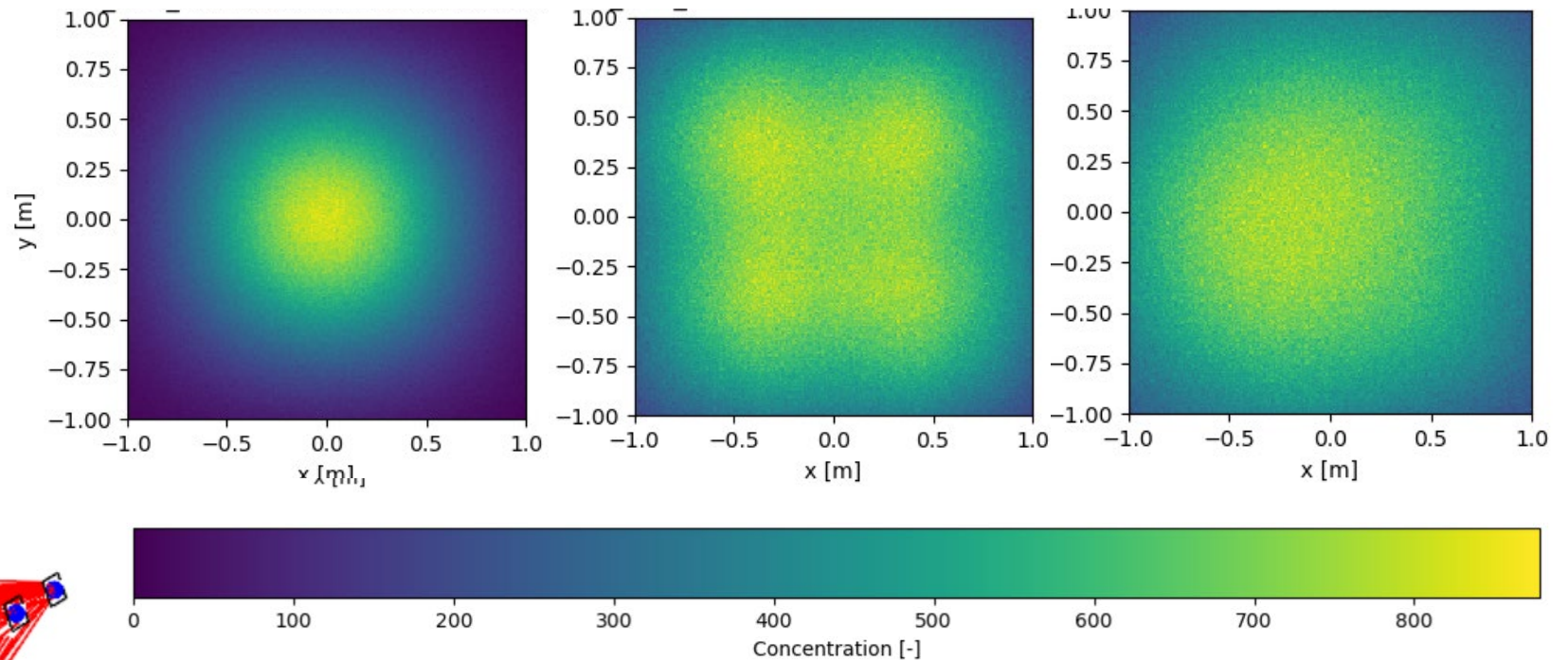


# SolarX System Model

## Parameterization and flux control

### Methodology:

1. Ray tracing simulation per sunposition for single receiver
2. From binray ray out files **s** the impact of single heliostats in the receivers
3. From single heliostat **create the desired combination ratios in receivers**

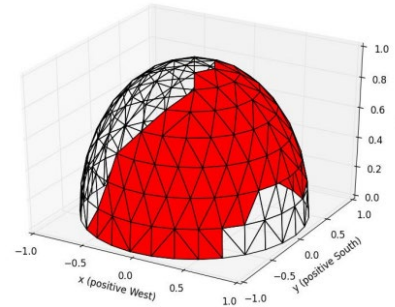
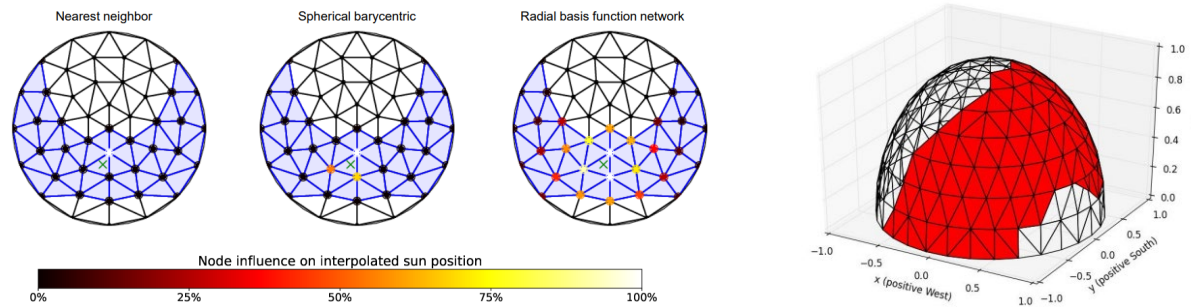


# SolarX System Model

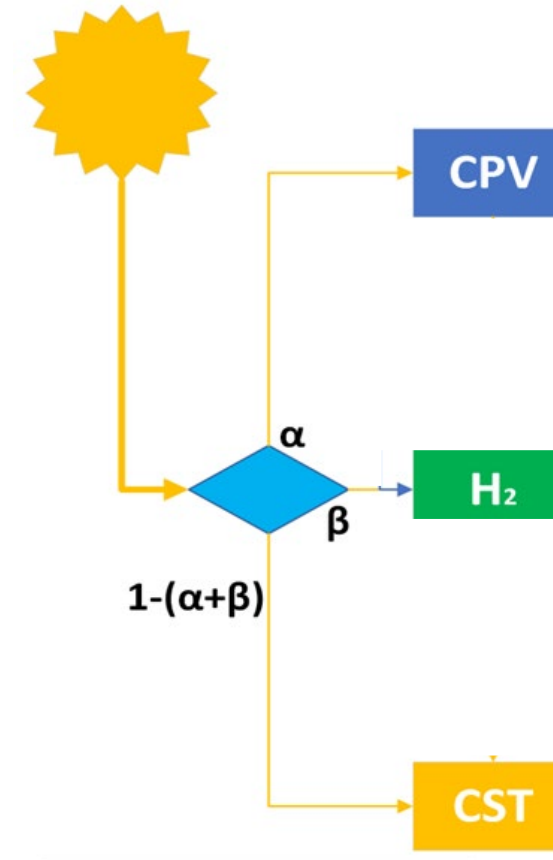
## Parameterization and flux control

### Approach:

- Ray tracing simulations for **12 sun positions**



- Ray tracing simulations for **12 sun positions**
- **66 combinations of shares in the receivers:**
  - $\alpha$ : 0%, 10%, ... 100%
  - $\beta$ : 0%, 10%, ... 100%
  - $1-(\alpha+\beta)$ : 0%, 10%, ... 100%

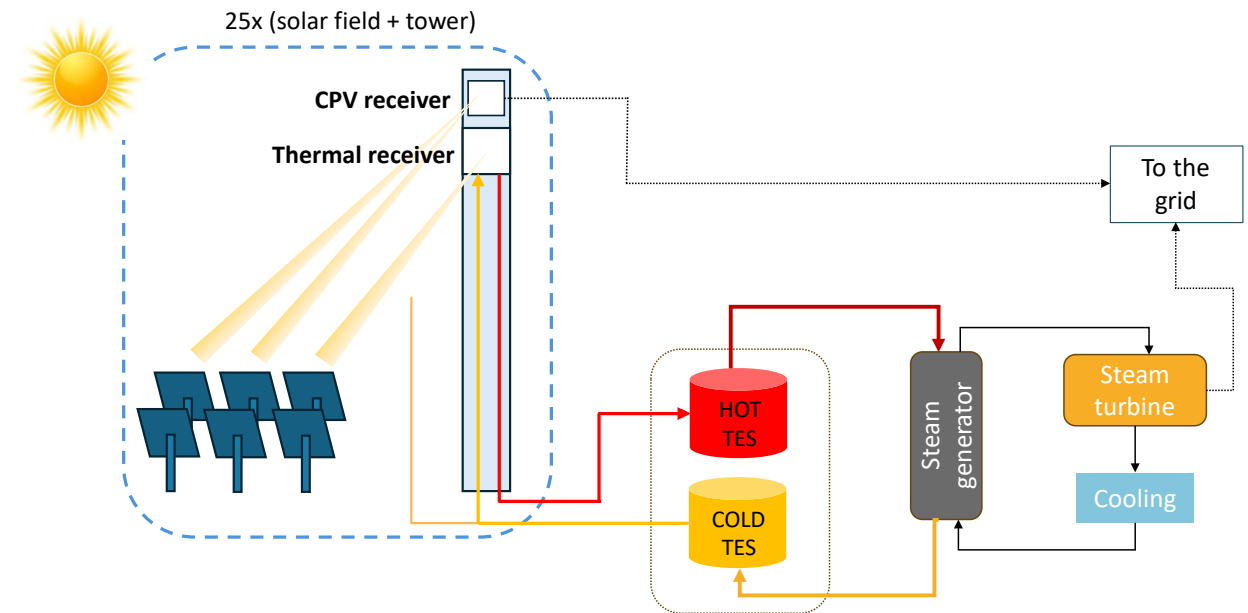


**Result:** *Database of 729 concentration maps*

# SolarX System Model

## Exemplarily Study Case

- Paper by **Alicia Crespo et al.** at SolarPACES Conference Proceedings 2024
- System design for SolarPACES
  - 2x2 m<sup>2</sup> CPV receiver
  - 3x3 m<sup>2</sup> thermal receiver
  - Four different heliostat fields designs: 4000 m<sup>2</sup>; 6000 m<sup>2</sup>; 8000 m<sup>2</sup>; 10000 m<sup>2</sup>
- Specific flux per sun position and ratio as an input to **EnergyPRO**



# SolarX System Model

## Exemplarily Study Case



### Scientific publication:

- Presented in SolarPACES, September 2025
- 24.09. Sala 6
- Publication following...

### Two-Stage Aiming Strategy Optimization for Enhanced Thermal Safety and Optical Efficiency in External Receivers

Maitane Ferreres Eceiza<sup>1</sup>, Sarah Riley<sup>1</sup>, Peter Schöttl<sup>1</sup>, Gregor Bern<sup>1</sup>, and Werner Platzer<sup>1</sup>

<sup>1</sup> Fraunhofer Institute for Solar Energy Systems ISE, Heidenhofstr. 2, 79110 Freiburg, Germany

\*Correspondence: Maitane Ferreres Eceiza, [maitane.ferreres\\_eceiza@ise.fraunhofer.de](mailto:maitane.ferreres_eceiza@ise.fraunhofer.de).

#### 1. Introduction

Optimizing the heliostat field aiming strategy is crucial to maximize solar radiation capture while preventing thermal stress and damage to the receiver. One of the primary challenges in Solar Tower Systems (STS) is managing the flux distribution on the receiver surface precisely and under transient conditions. Uneven flux can lead to hotspots, causing material degradation and reducing the receiver's lifespan. On the other hand, excessive spillage, where reflected sunlight misses the receiver, results in energy losses. Therefore, developing an aiming strategy that balances flux uniformity, on each panel of the receiver, and minimizes spillage is essential for enhancing plant performance and reliability. The approach presented in this work integrates solar field control with thermal receiver operation, providing the basis for advanced digital twin-based operation strategies.

#### 2. Methodology

Two-stage optimization method was employed:

##### 2.1 Stage 1 Optimization

The stage 1 optical optimization in this paper aims to achieving a uniform flux distribution on the receiver while minimizing spillage, by employing two clustering strategies: Distance-based clustering, which by grouping heliostats by their distance from the receiver accounts for the increase in spillage the farther a heliostat is placed from the receiver ("cone effect") [1], and cosine-loss-based clustering, which focuses on the efficiency of heliostats based on the angle of incident solar radiation [1]. A genetic algorithm (GA) [2] is used to minimize a weighted sum of these KPIs ("non-uniformity" and "spillage"), balancing the trade-off between uniform flux distribution and minimizing spillage. Ray tracing generates the heliostat concentration maps required for evaluating candidate solutions.

##### 2.2 Stage 2 Optimization

The Stage 2 optimization ensures that the aiming strategy complies with the receiver's thermal and hydraulic constraints during operation. The allowable flux density (AFD) model is integrated into the simulation to define the maximum allowable flux based on the receiver's material properties and the heat transfer fluid (HTF) characteristics [3]. An ant colony optimization (ACO) [4] algorithm is employed to refine the aim points further, focusing on thermal safety, while minimizing spillage. The ACO algorithm simulates the foraging behavior of ants to explore the solution space efficiently, and iteratively refines the aim points, ensuring the flux distribution adheres to the AFD limits. The thermal performance is then assessed using ColSim, a dynamic system simulation tool, evaluating critical parameters such as film temperature, bulk fluid temperature, and surface temperature to ensure material integrity and optimal energy conversion efficiency.

#### 3. Results

The GA optimization achieved a substantial reduction in the flux concentration uniformity towards the ideal uniform distribution (by up to 50%) compared to the baseline strategy during periods of high solar zenith angles. This more uniform flux distribution minimized thermal

Ferreres Eceiza et al. | Aiming Optimization for External Receivers

gradients on the receiver surface, reducing the risk of associated material stress. The distance-based aiming strategy effectively managed the cone effect at higher zenith angles, resulting in lower spillage rates. The cosine-loss-based strategy also contributed to spillage reduction, especially during periods with significant cosine losses in the heliostat field.

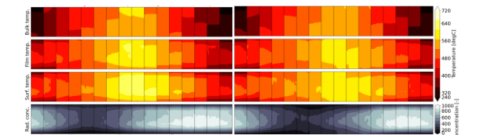


Fig. 1: Comparison of concentration, receiver surface temperature, bulk fluid temperature, and film temperature at a specific timestep (March 27 at 08:10) for all panels of an external receiver before (left) and after (right) performing ACO optimization. This illustrates the effectiveness of stage 2 optimization in reducing the maximum film temperature from 616.0°C to 597.8°C while the bulk temperature is maintained above 565 °C.

The stage 2 optimization ensured that the receiver's film temperature remained below the critical threshold of 600°C throughout various operating conditions. The ACO algorithm effectively adjusted aim points to prevent exceedance of the Allowable Flux Density (AFD) limits without any negative effect on the bulk temperature (see Figure 1).

On clear days the optically optimized distance-based strategy achieved solar gains approximately 1% higher than the baseline due to a reduction in overall spillage translating to significant energy output over time. The uniform flux distribution enhanced the efficiency of heat transfer within the receiver, contributing to improved thermal performance. The combined optimized strategies demonstrated stable performance across different sun positions and direct normal irradiance (DNI) conditions. The adaptive clustering and ACO aim point shifting allowed the system to respond effectively to environmental changes, reducing maximum film temperatures and avoiding thermal spikes even under transient conditions, thereby enhancing the receiver's operational safety and longevity.

#### Acknowledgements

The projects, contributing to the work presented in this paper were funded by the German Federal Ministry for Economy and Climate Action (BMWK) (code 03EE5132E) and via the SolarX-project by the European Union (grant no. 101084158). The authors of this publication are responsible for its contents.

#### References

- [1] A. Sánchez-González and D. Santana, "Solar flux distribution on central receivers: A projection method from analytic function," *Renewable Energy*, vol. 74, pp. 576–587, 2015, doi: 10.1016/j.renene.2014.08.016.
- [2] D.E. Goldberg, J.H. Holland, "Genetic Algorithms and Machine Learning", *Machine Learning*, 3, 95–99, 1988. <https://doi.org/10.1023/A:1022602019183>
- [3] L. L. Vant-Hull, "The role of "allowable flux density" in the design and operation of molten salt solar central receivers" *ASME, J. Sol. Energy Eng.*, vol. 124, no. 2, pp. 165–169, 2002.
- [4] M. Dorigo and T. Stützle, "Ant colony optimization," *IEEE Computational Intelligence Magazine*, vol. 1, no. 4, pp. 28–39, 2006.

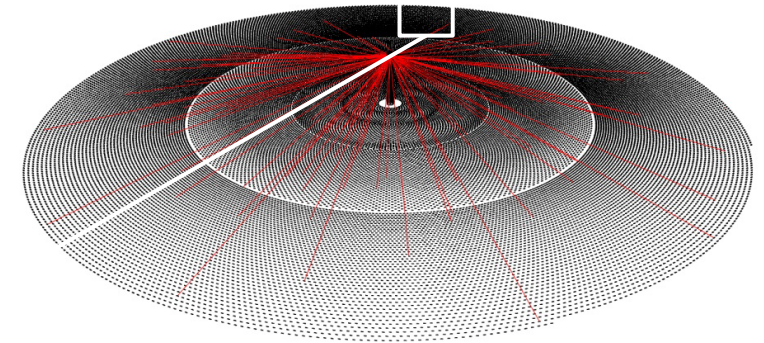
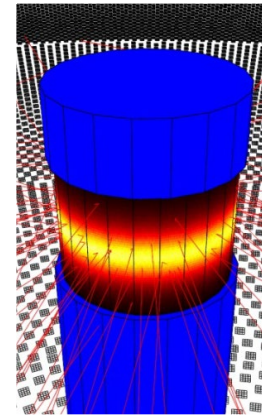
Abstract handed in for SolarPACES conference

# SolarX System Model

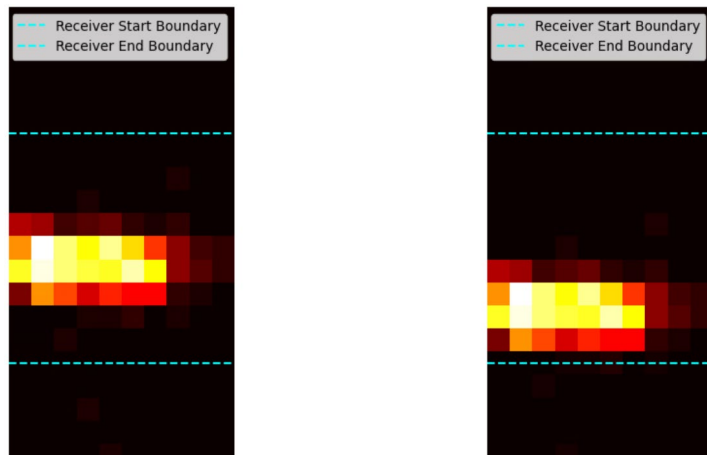
## Exemplarily Study Case

Optimized heliostat aim strategies for the Cerro Dominador: **trade-off between maximizing efficiency and ensuring receiver integrity.**

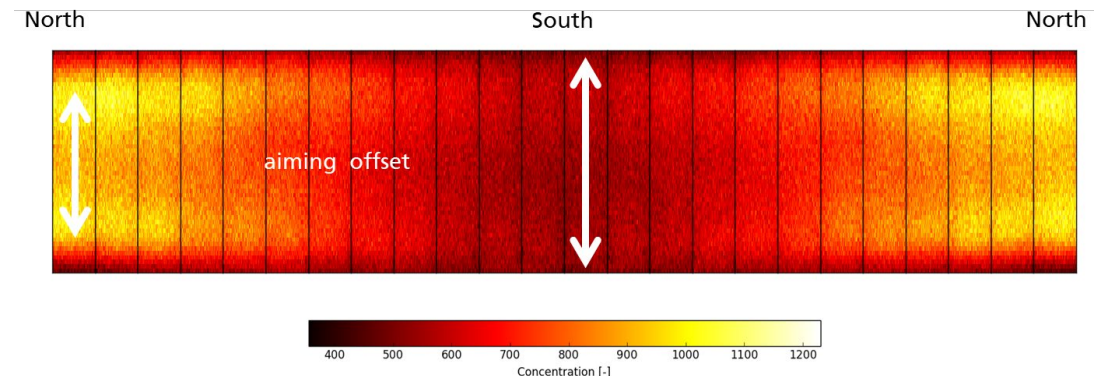
ARIA method to implement aim point optimizations  
→ enable testing of different aiming strategies



Ray tracing model of 600 MW<sub>th</sub> Solar Island (heliostat field/receiver)



2D concentration map of a heliostat on one panel



Vertical aiming strategy with circumferential profile

# SolarX System Model

## Exemplarily Study Case

- Publication of HelioSlider in Solar Energy (Heliostat Field Issue)
- Design heliostat field for new scenarios:
  - Location?
  - Receiver sizes?
  - Oversizing of the heliostat field?
  - Multi-tower?

→ Definition of scenarios



# SolarX System Monitoring

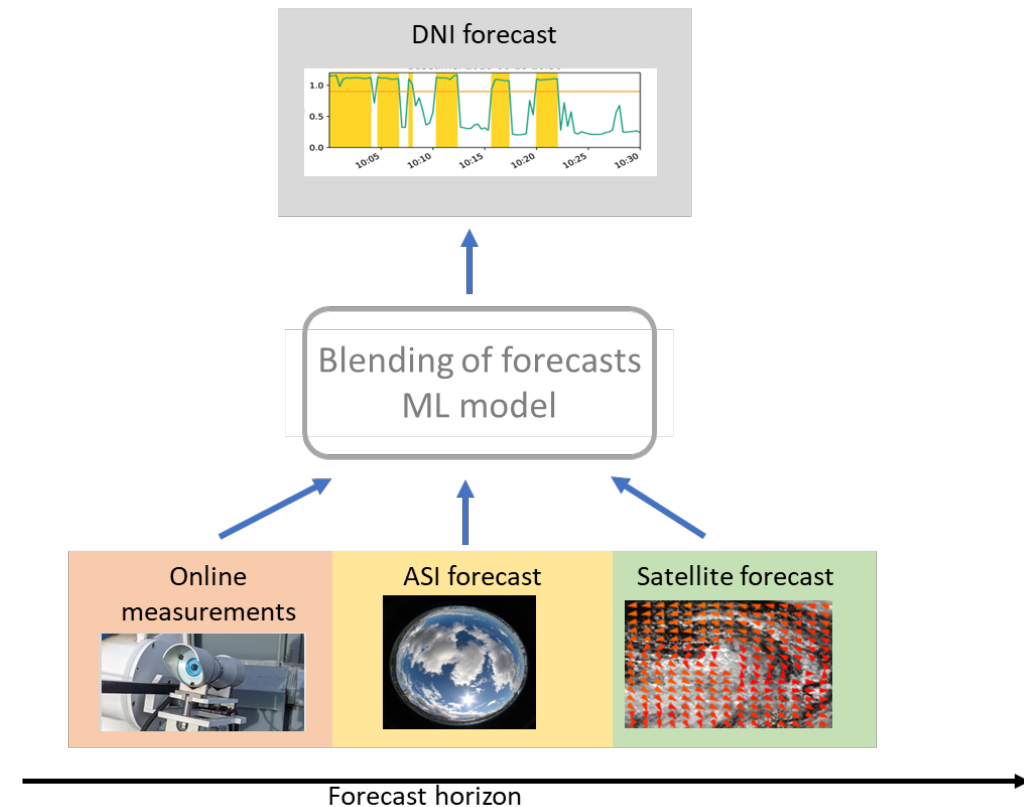
## Solar Resource Assessment – DNI Nowcasting

### Forecasting model combining:

- Measurement based forecast
- All Sky Imager (ASI) based forecast
- Satellite based forecast

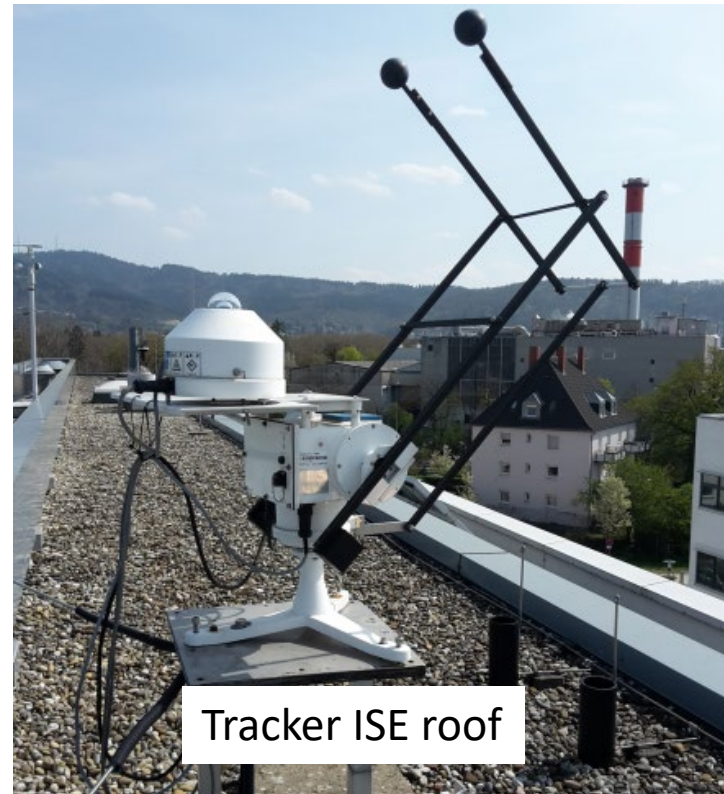
### Forecast parameters:

- Maximal forecast horizon: 1 h
- Resolution: 1 min



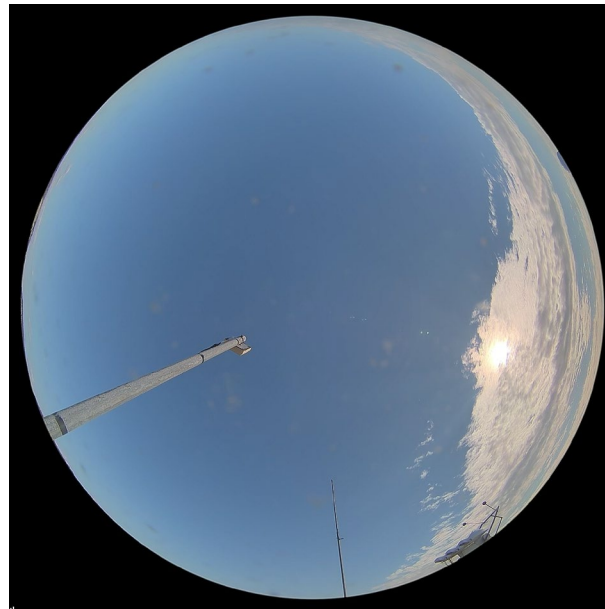
# SolarX System Monitoring

## Solar Resource Assessment – DNI Nowcasting



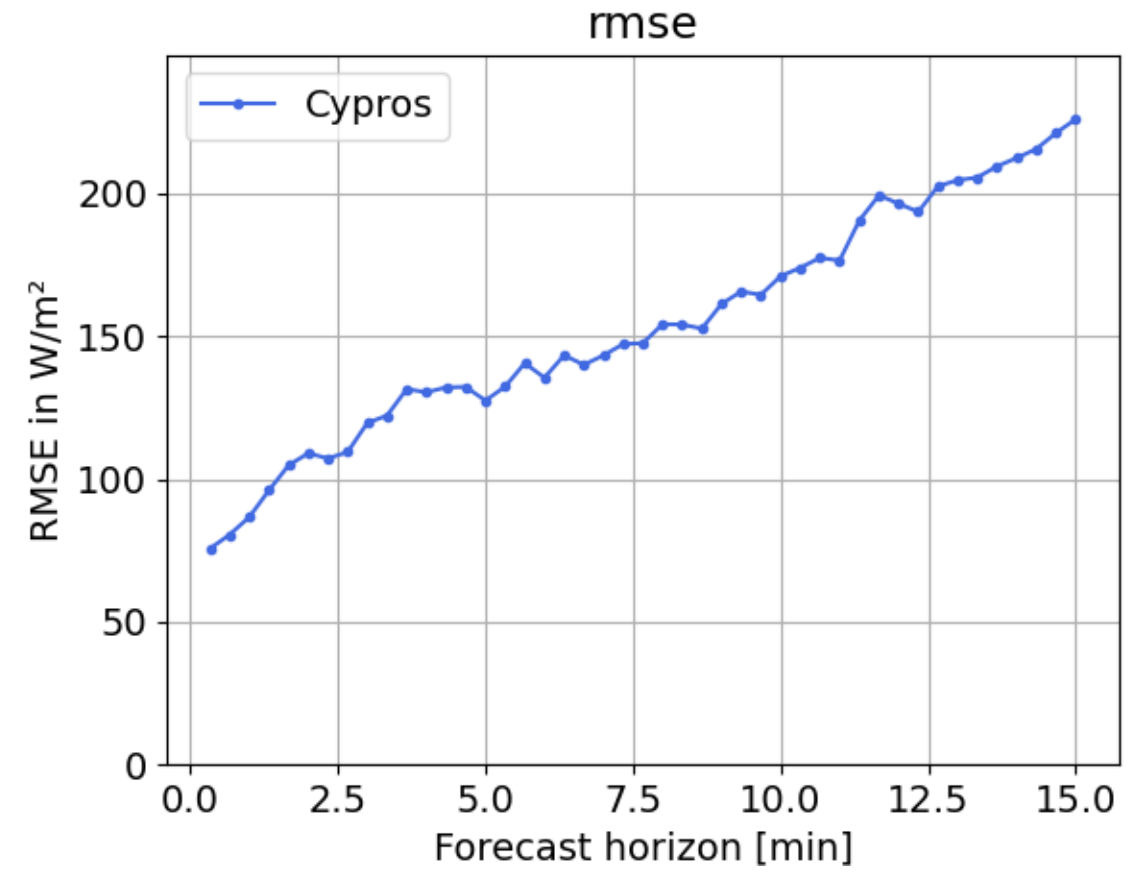
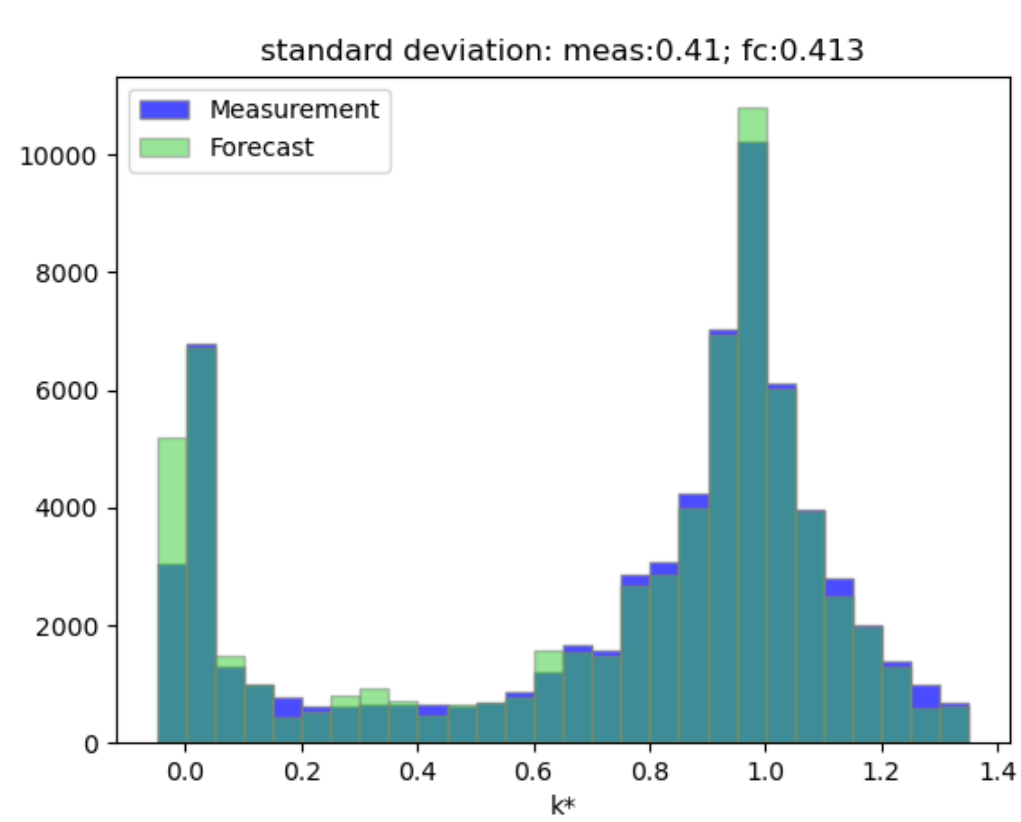
# SolarX System Monitoring

## Solar Resource Assessment – DNI Nowcasting



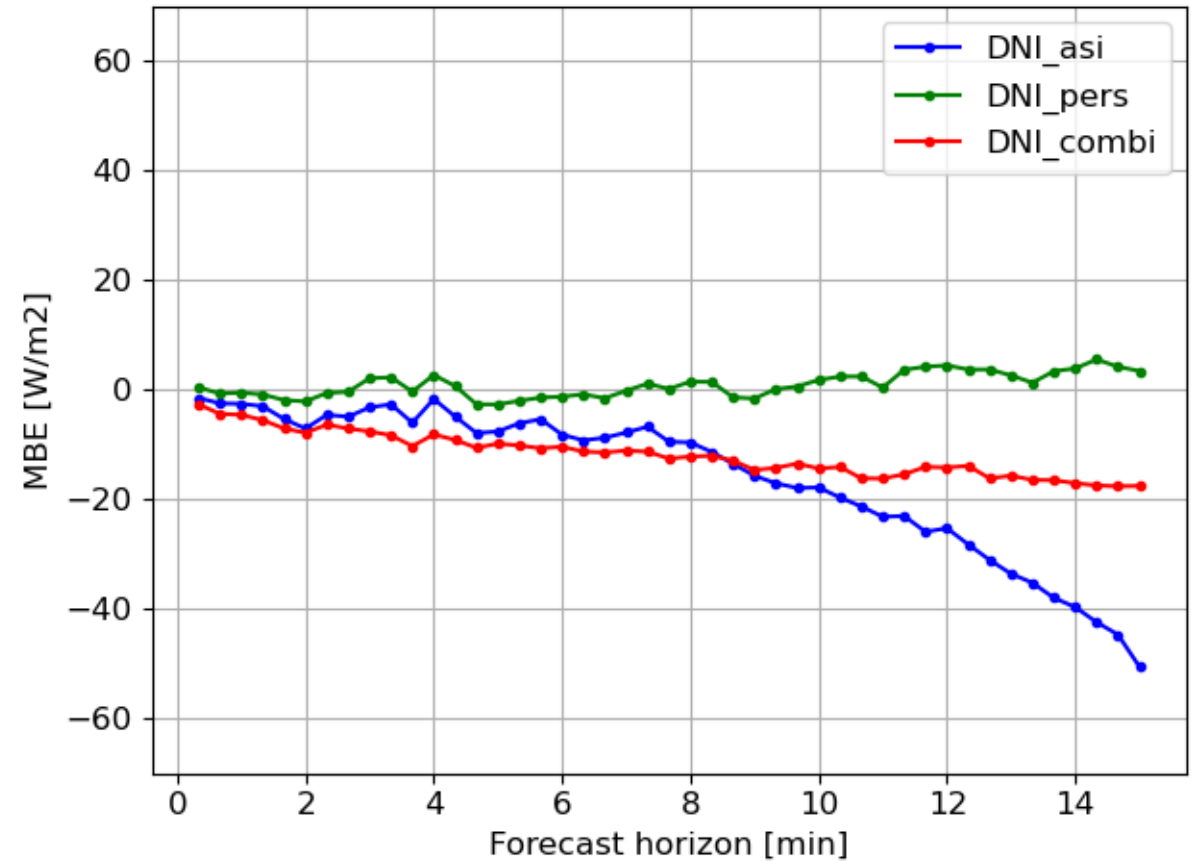
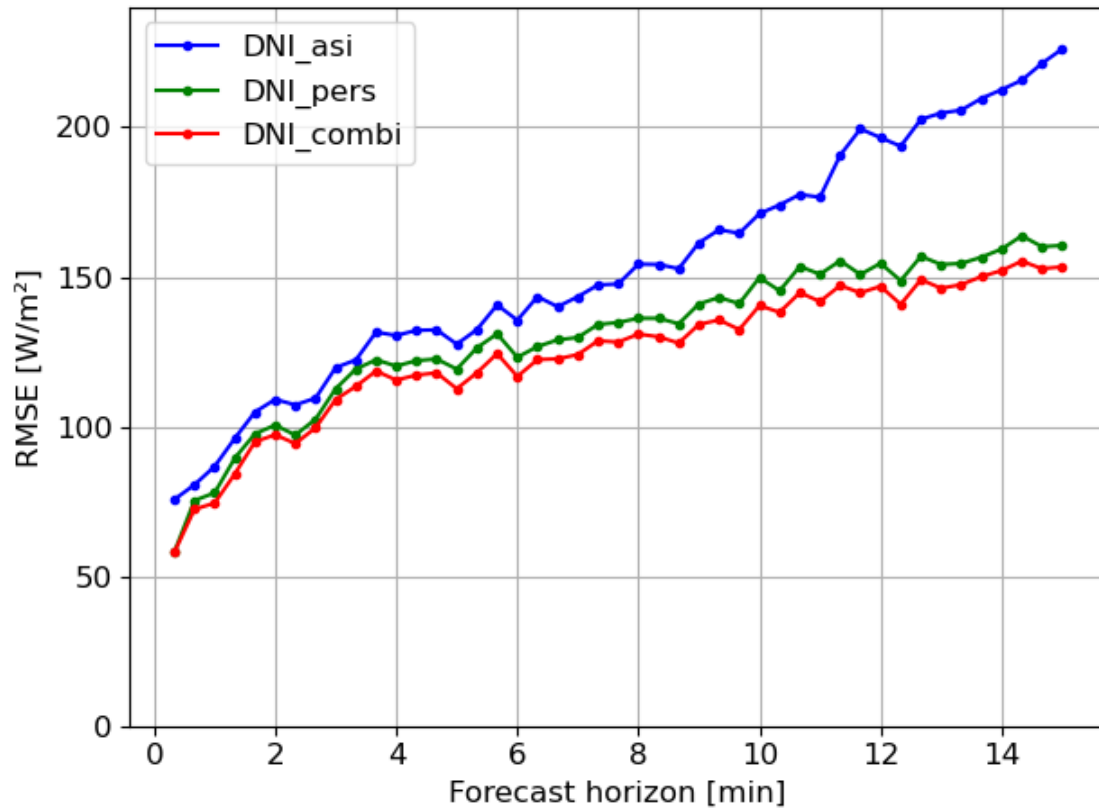
# SolarX System Monitoring

## Solar Resource Assessment – DNI Nowcasting



# SolarX System Monitoring

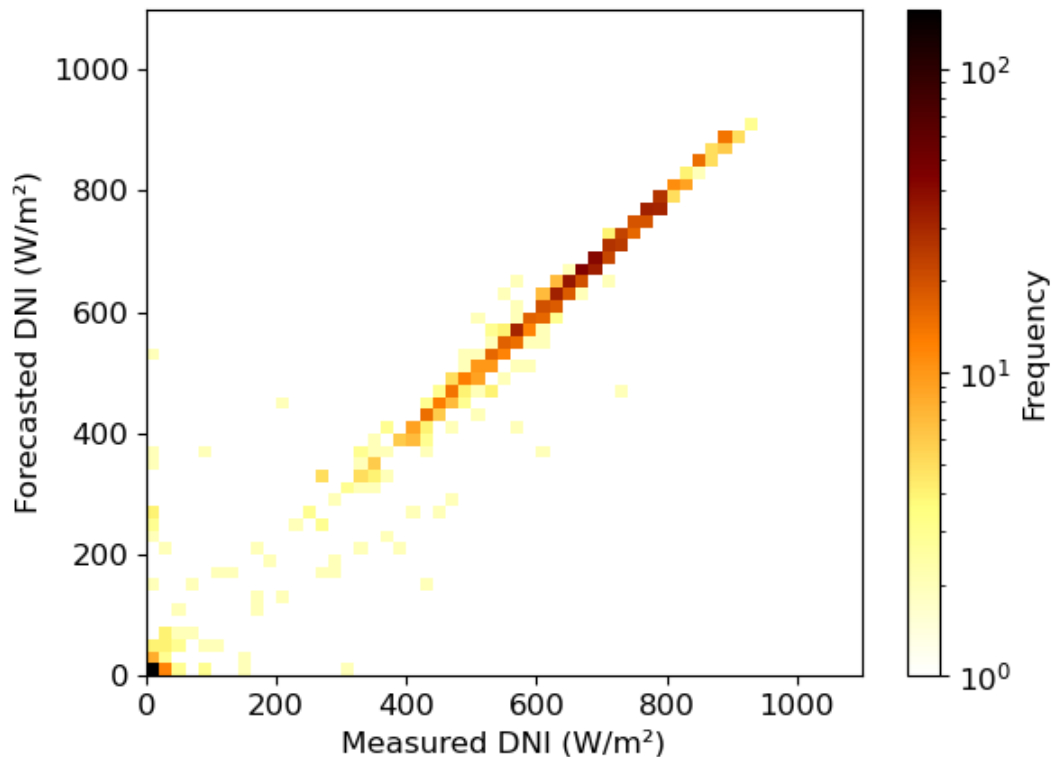
## Solar Resource Assessment – DNI Nowcasting



# SolarX System Monitoring

## Solar Resource Assessment – DNI Nowcasting

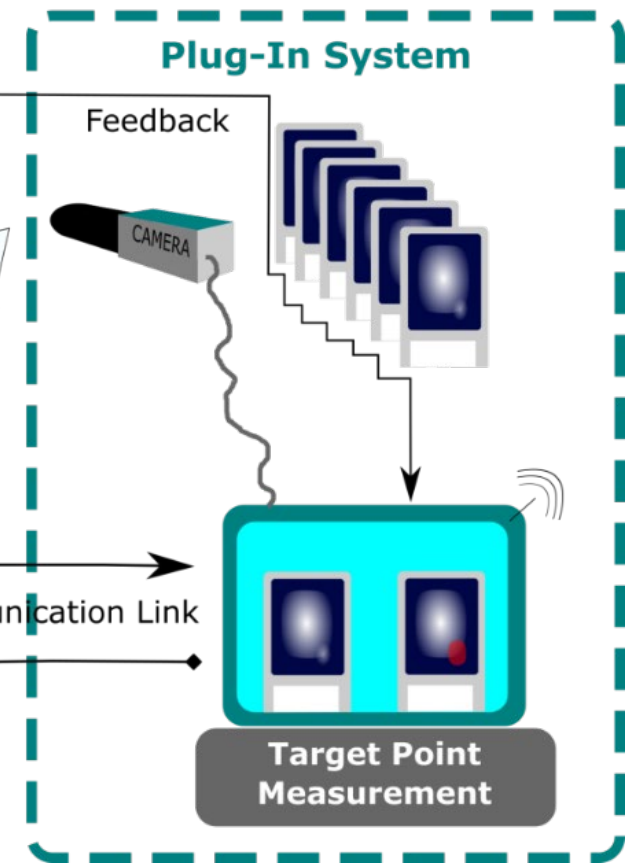
Forecast horizon: 5 min



- A DNI forecast based on ASI data was implemented
- A DNI forecast based on satellite data was implemented
- A combined forecast based on measurements, ASI and satellite data was calculated for the location Freiburg
- A combined forecast based on measurements and ASI data was calculated for the location Cyprus
- Results are very promising
- Data collection at Cyprus is ongoing.
- Next step – integration with optical model for yield forecast and control

# SolarX System Monitoring

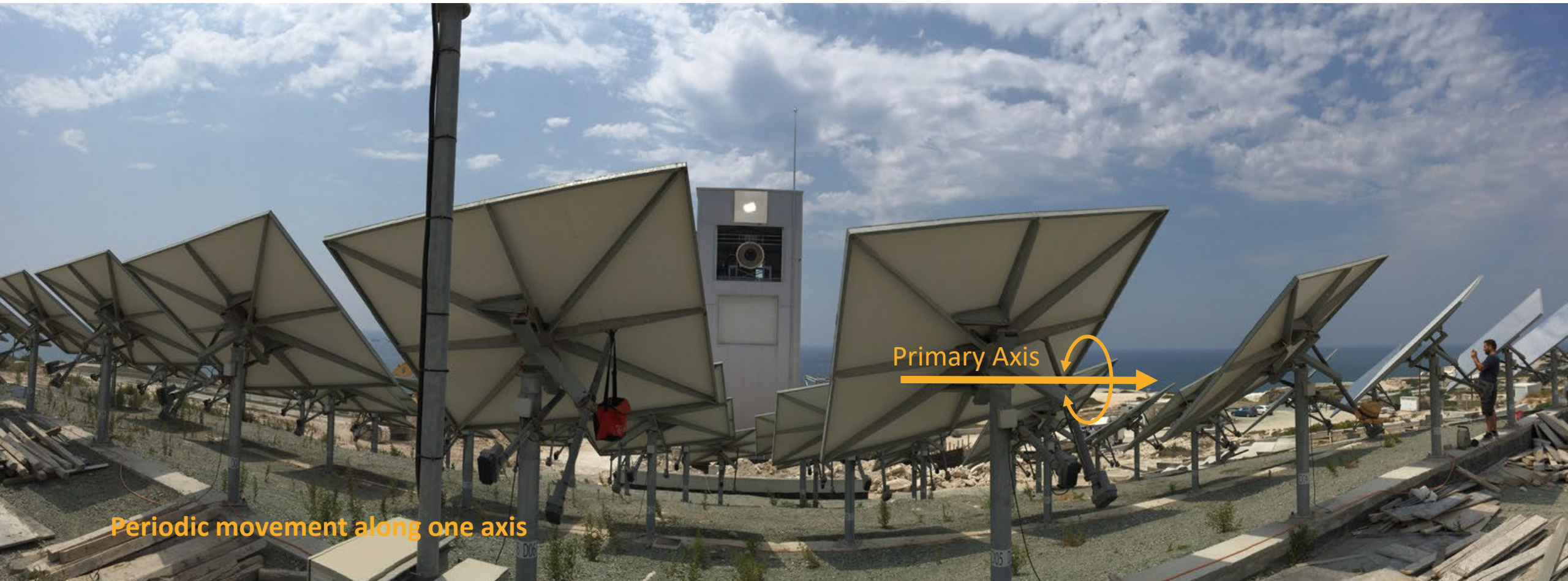
## Fast and Precise Heliostat Calibration



# SolarX System Monitoring

## HelioControl Approach

Definition of requirements at PROTEAS



# SolarX System Monitoring HelioControl Approach

Definition of requirements at PROTEAS



# SolarX System Monitoring HelioControl Approach

## Integration Concept

- Finalization of definitions with CYI and HelioControl Field Developer:
  - Implementation of geometric definitions of PROTEAS (F-ISE)
  - HelioControl control adaptation (HS-developer)
  - Full field availability (CYI)
  - Two aim point availability? (CYI)
- Eventually hardware integration / colocation
- Test and demonstration

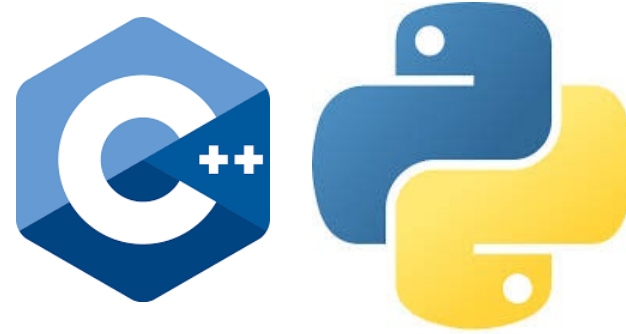


The Plan

# SolarX System Monitoring HelioControl Approach

## Integration Concept

- Finalization of definitions with CYI and HelioControl Field Developer:
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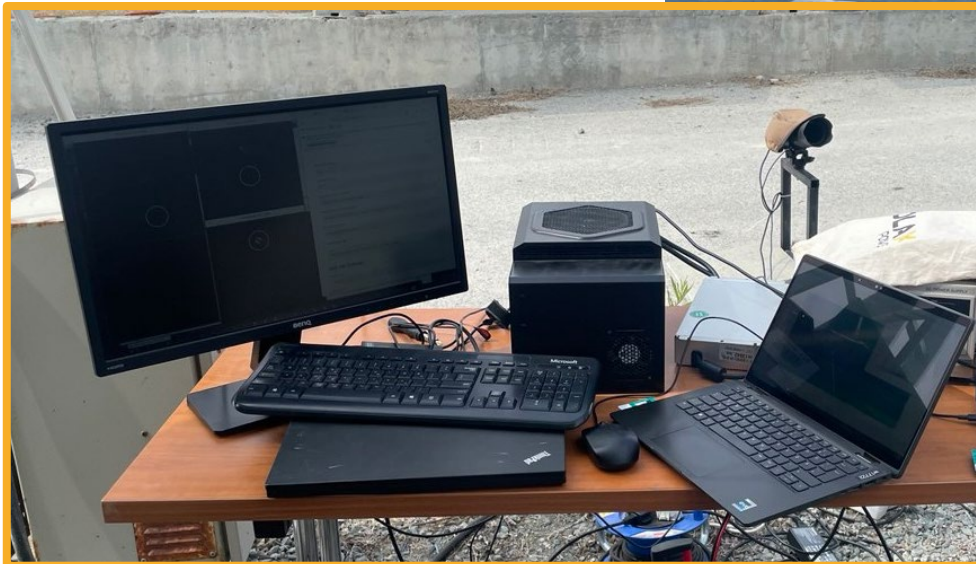


# SolarX System Monitoring HelioControl Approach



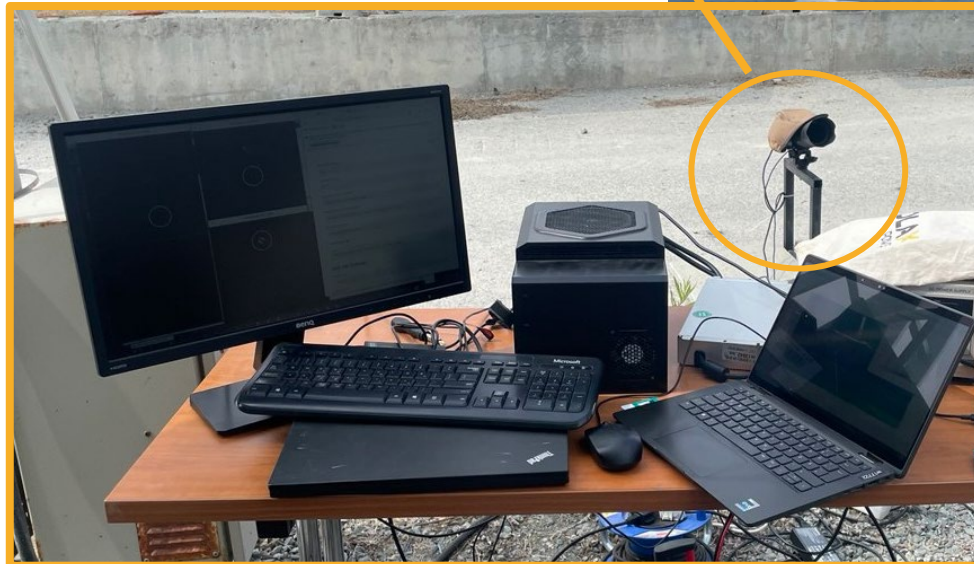
# SolarX System Monitoring

## HelioControl Approach



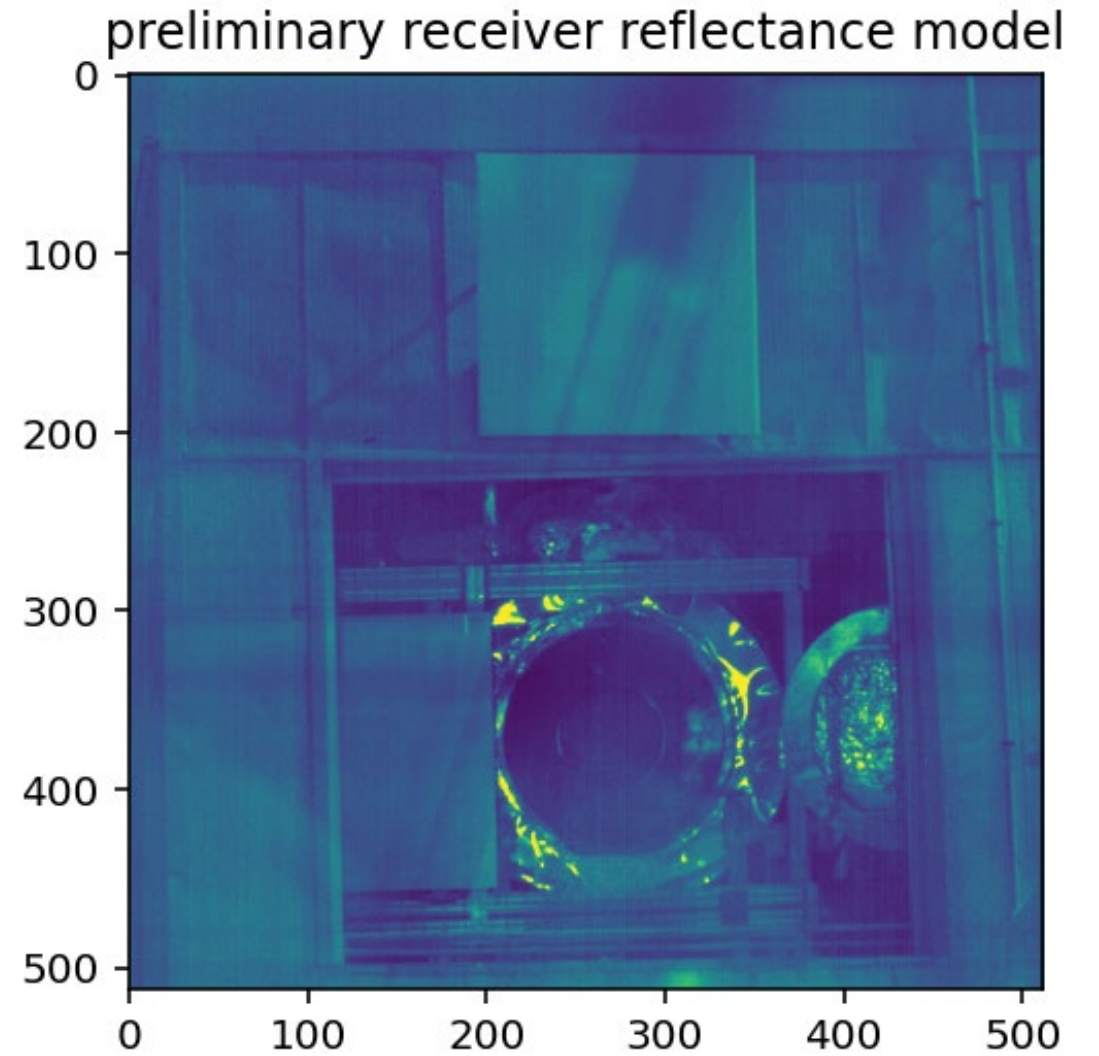
SolarX  
HelioCom

Monitoring



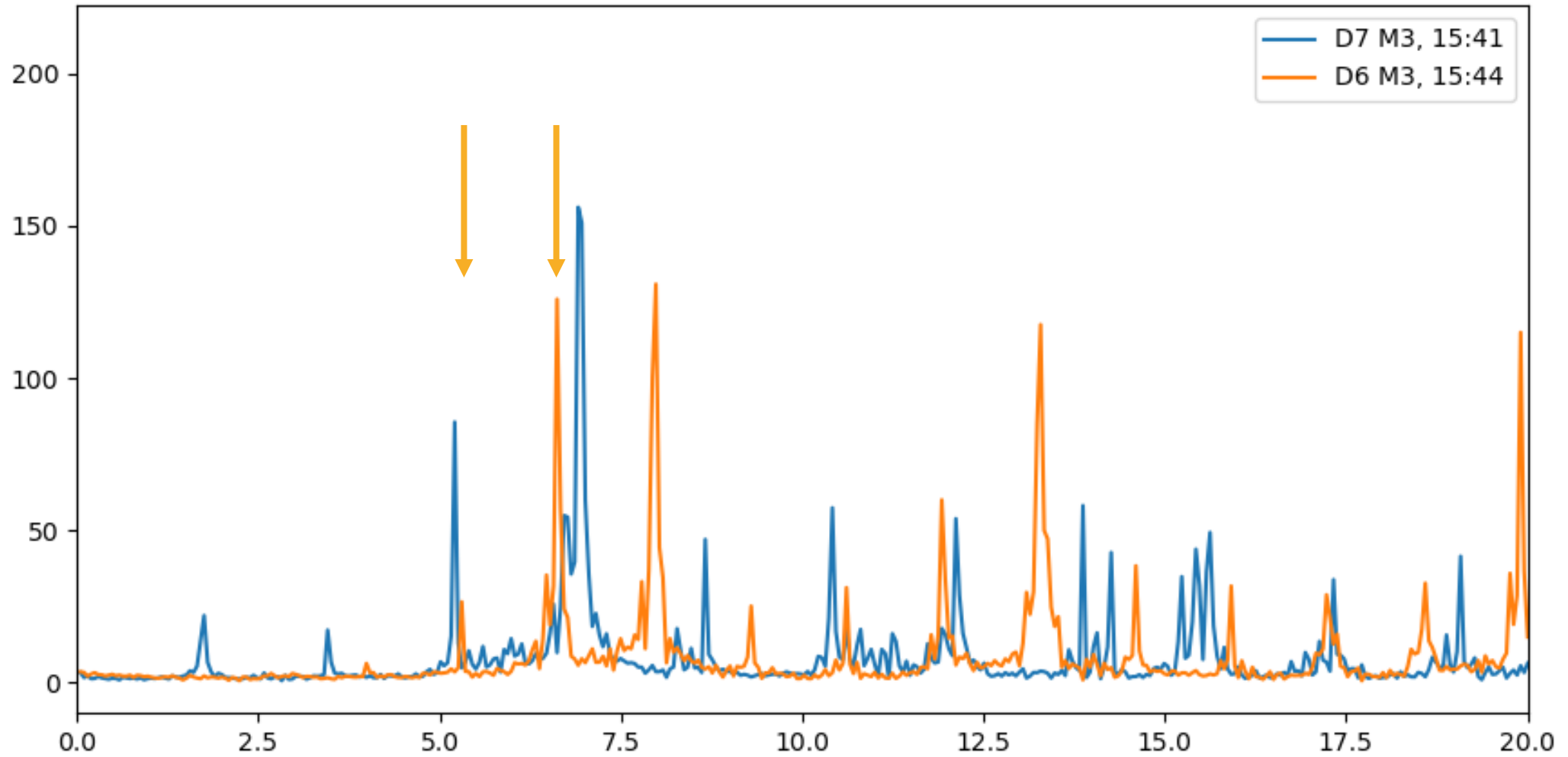
# SolarX System Monitoring

## HelioControl Approach



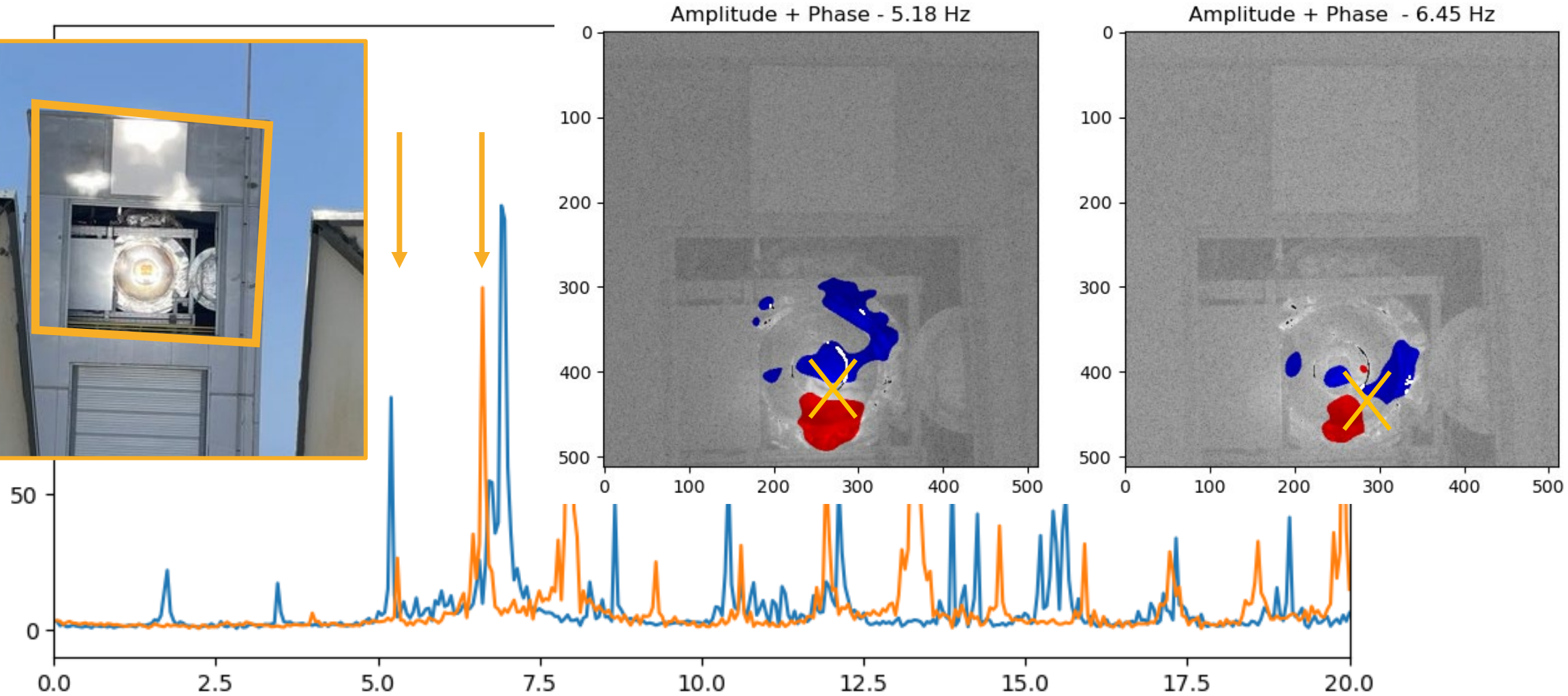
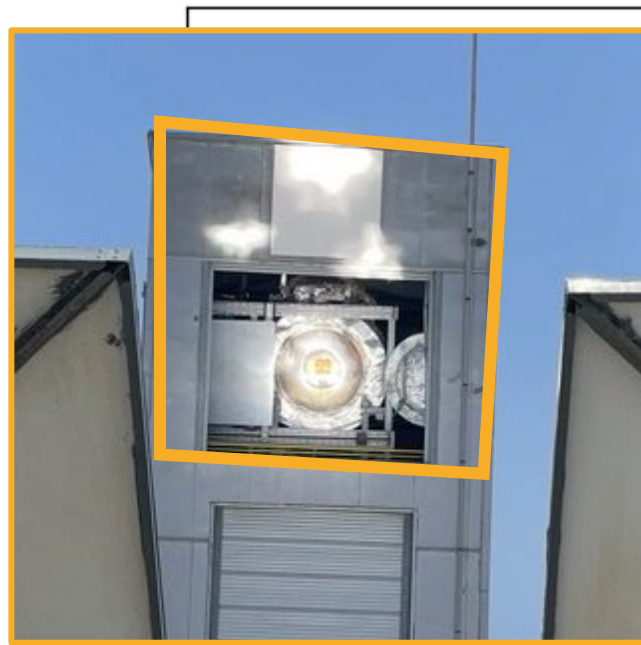
# SolarX System Monitoring

## HelioControl Approach



# SolarX System Monitoring

## HelioControl Approach (Amplitude + Phase)



# SolarX System Monitoring

## HelioControl Approach

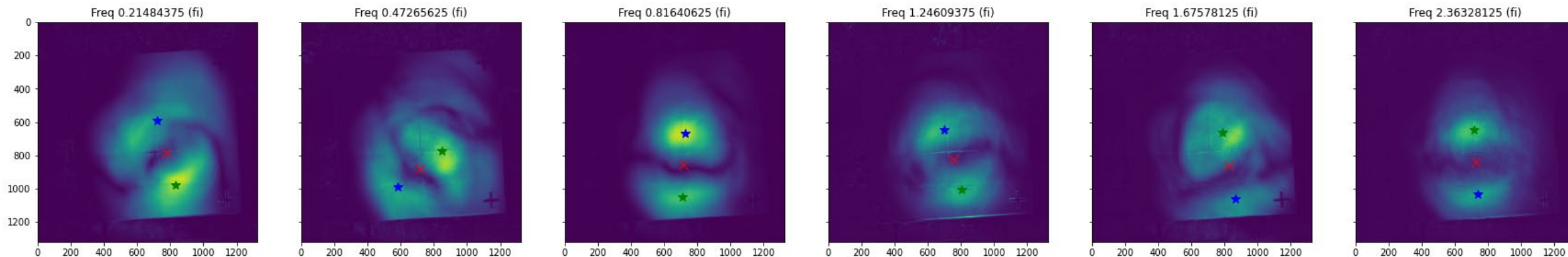
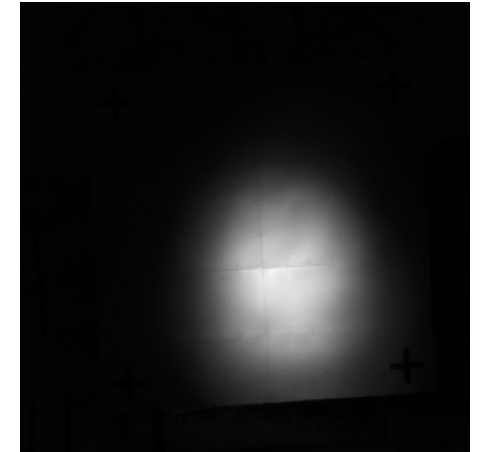
- ~70 measurement data sets acquired
- First promising results
- However:
  - Implementation with additional „vibrating drives“ not recommended
  - Natural frequencies of heliostats are too close
  - **Implementation with heliostat drives allows for frequency control**
  - → proven at small scale, to be demonstrated in commercial scale



# SolarX System Monitoring

## HelioControl Approach – What it should look like

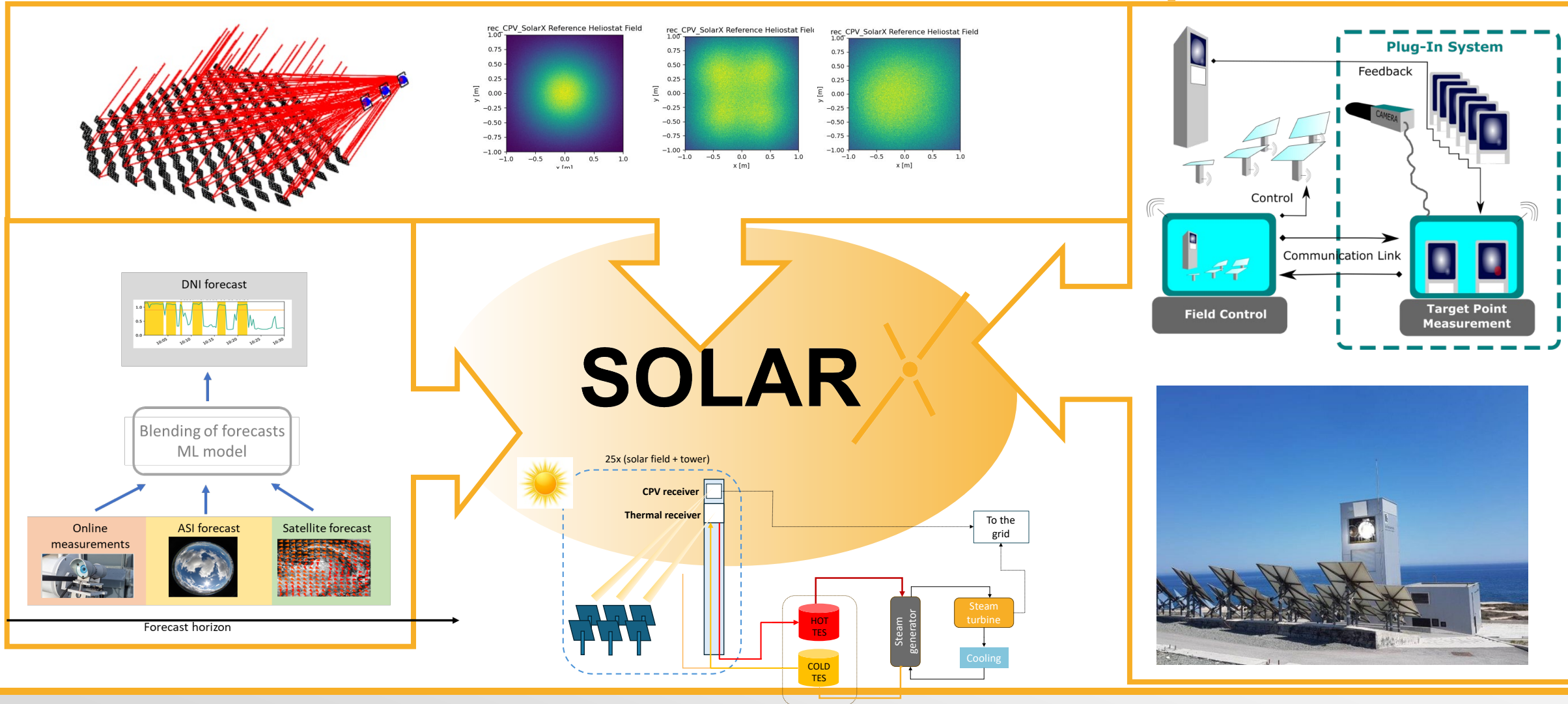
- Test of HelioControl Method with Vast Solar in Australia, Brisbane
- Fast Setup (Camera: 30 minutes)
- No optimization at all
- 6 aim points in 20 seconds (0.3/s)
- Theoretically 2.0/s is possible
- → Comparison with BCS



# SolarX Solar Management



## Towards Model Predictive - and Closed Loop Control



# Contact

**Maitane Ferreres Eceiza**

[maitane.ferreres.eceiza@ise.fraunhofer.de](mailto:maitane.ferreres.eceiza@ise.fraunhofer.de)

**Anna Dittmann**

[Anna.dittmann@ise.fraunhofer.de](mailto:Anna.dittmann@ise.fraunhofer.de)

**Dr. Gregor Bern**

[gregor.bern@ise.fraunhofer.de](mailto:gregor.bern@ise.fraunhofer.de)

Fraunhofer Institute for Solar  
Energy Systems ISE

[www.ise.fraunhofer.de](http://www.ise.fraunhofer.de)



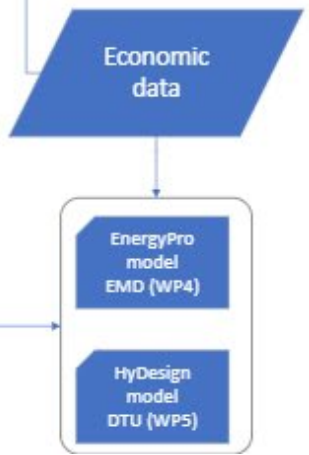
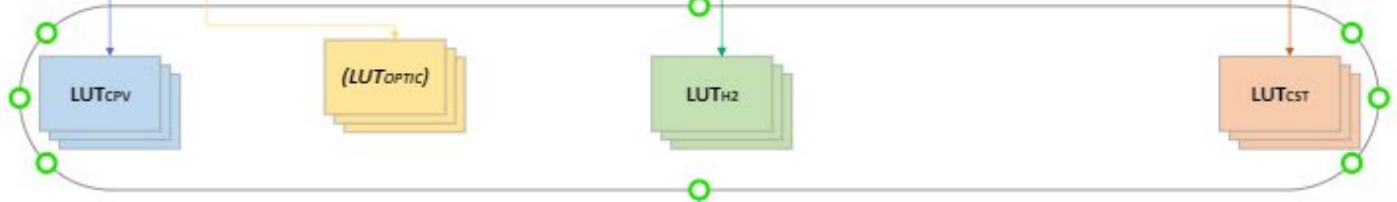
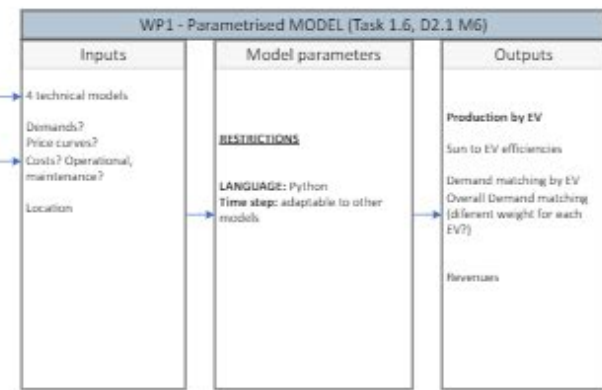
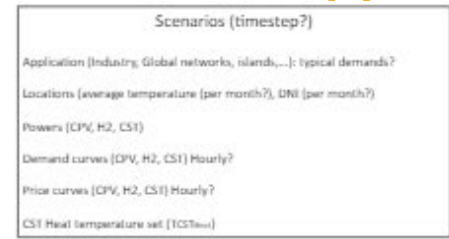
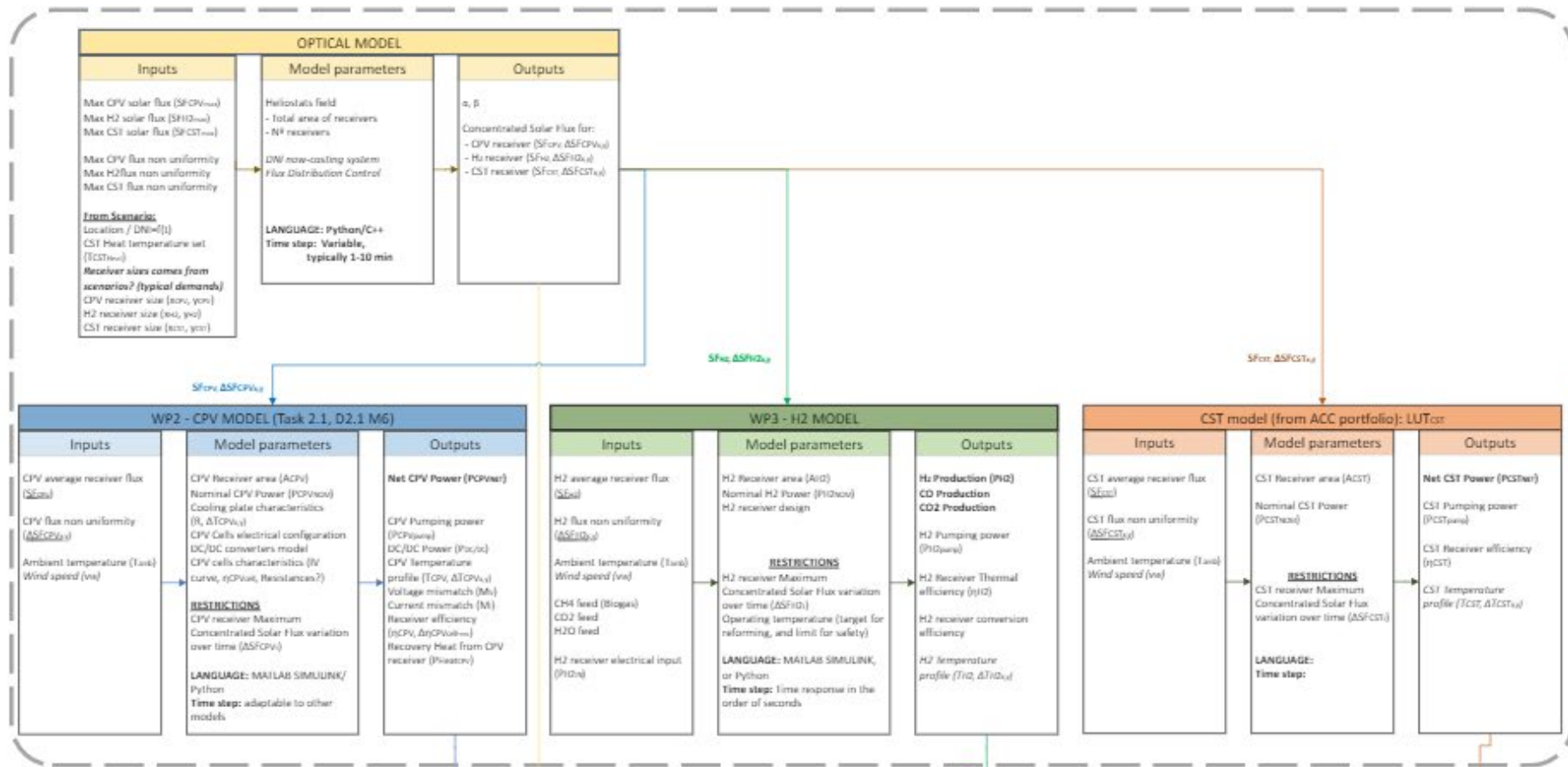
**SOLAR** X

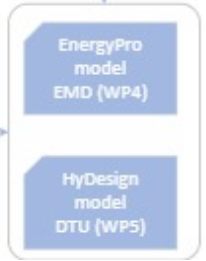
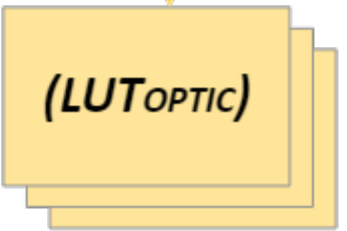
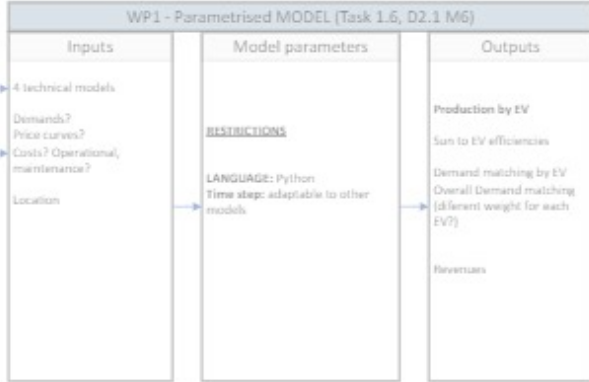
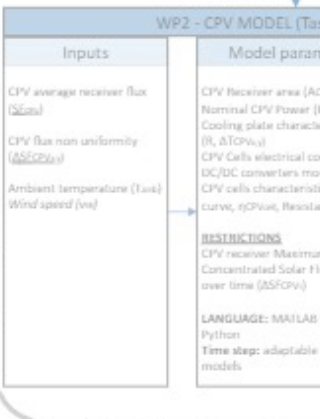
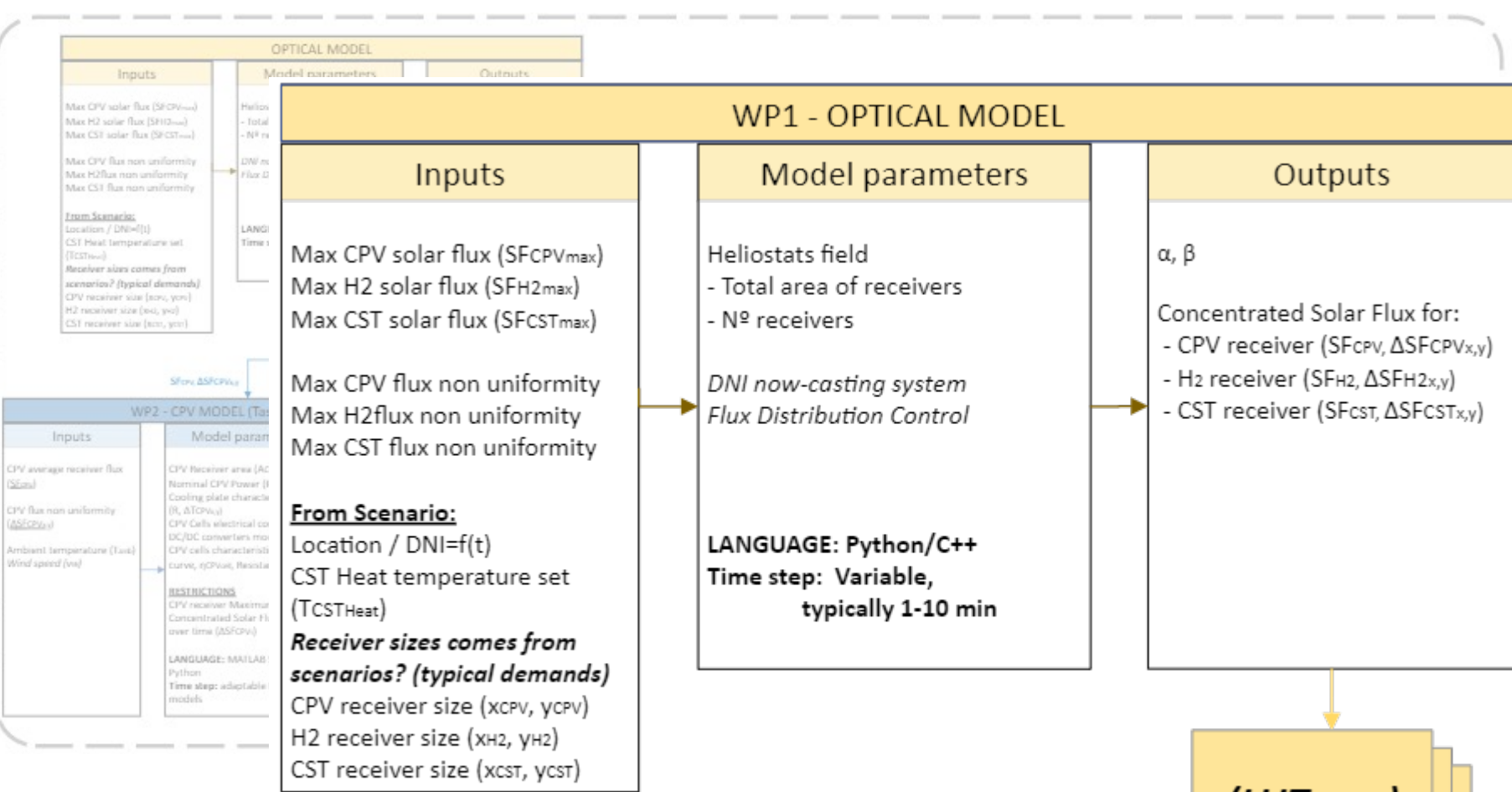
**Dispatchable concentrated Solar-to-X energy solution  
for high penetration of renewable energy**

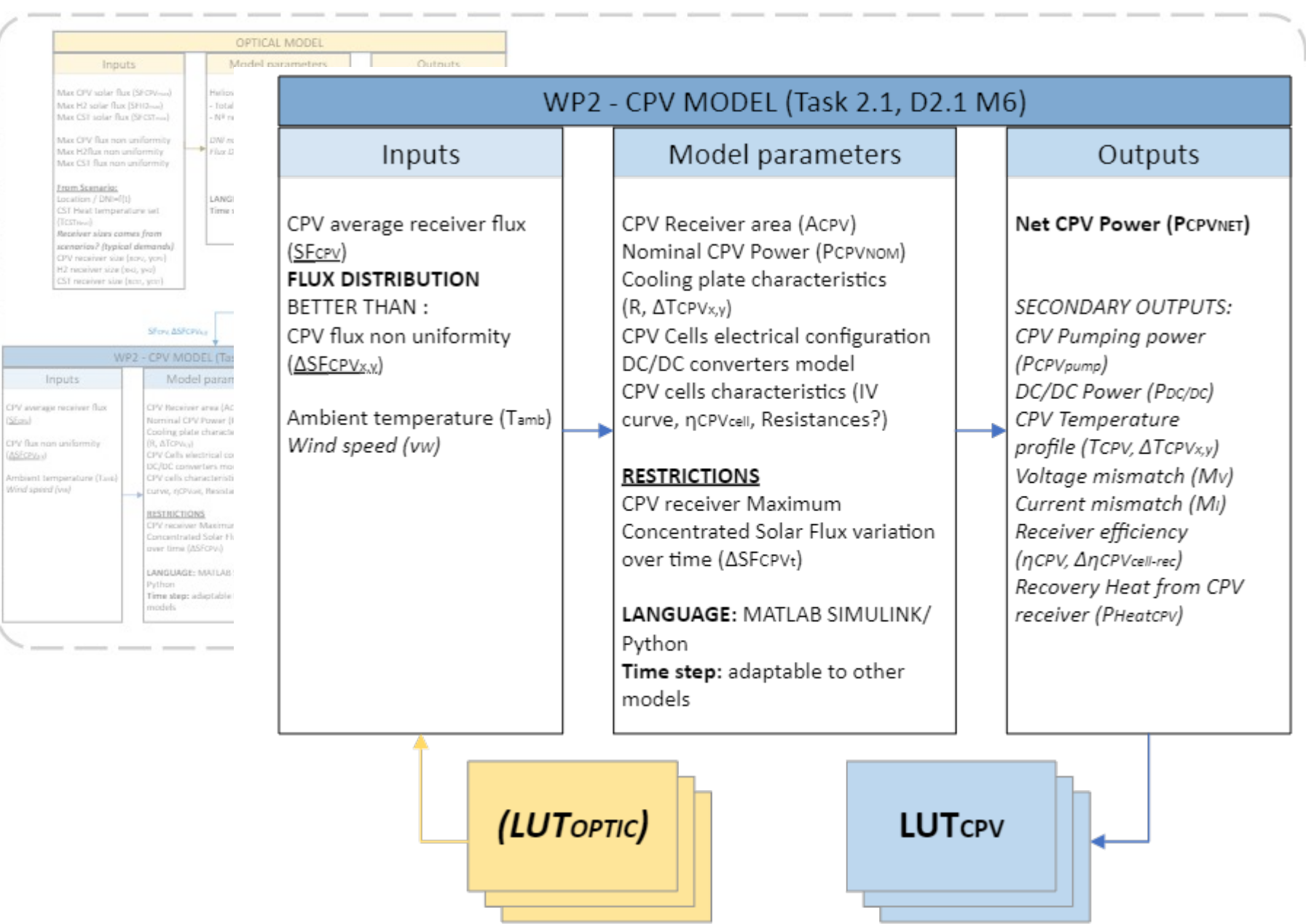
[www.solarx-project.eu](http://www.solarx-project.eu)

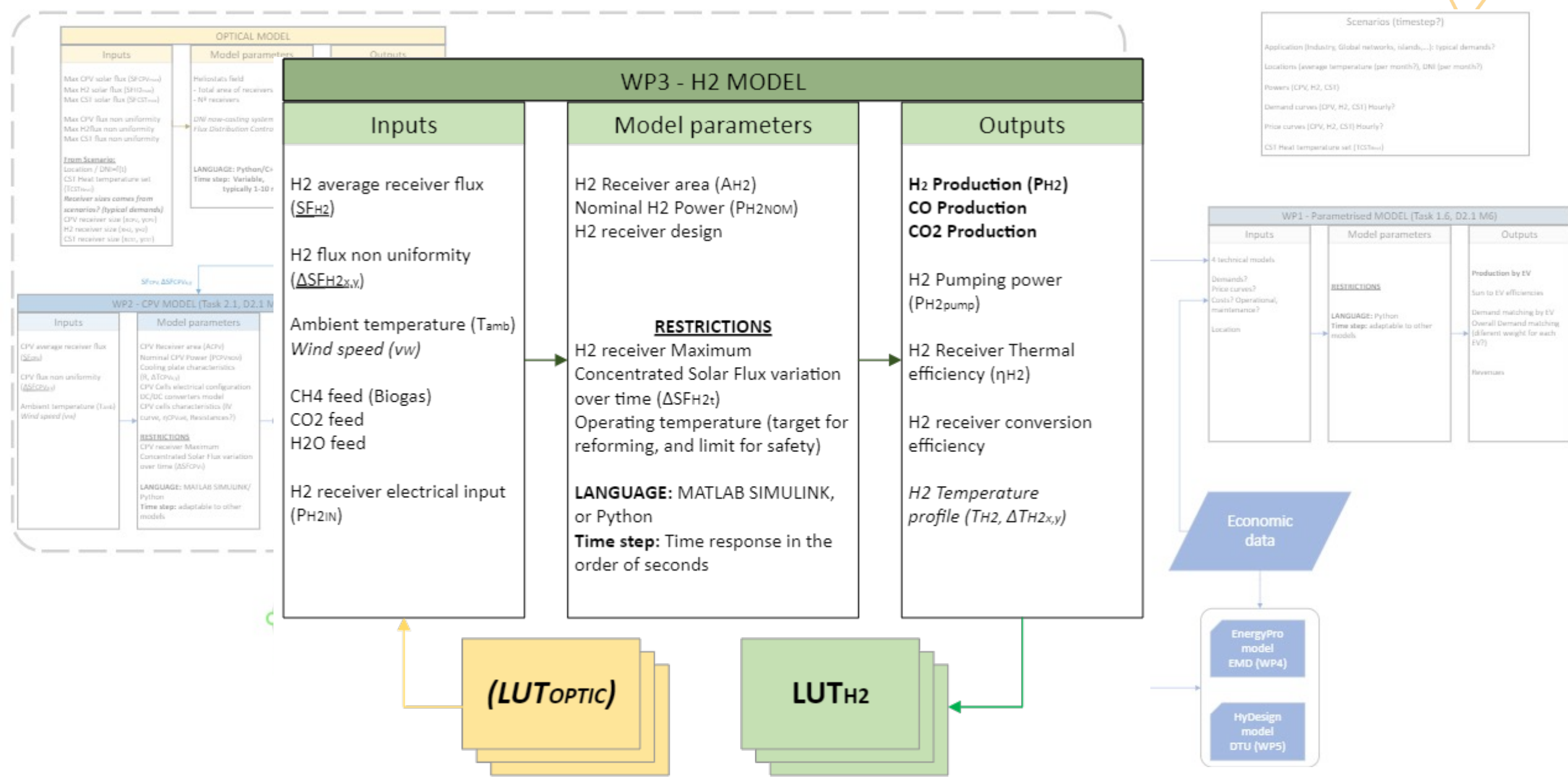


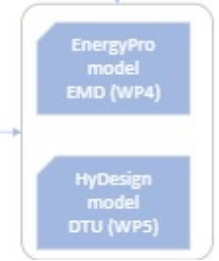
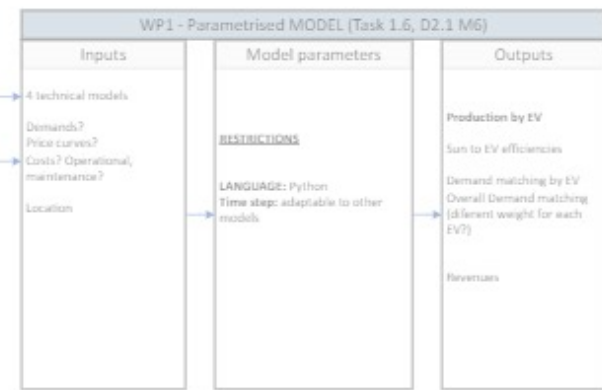
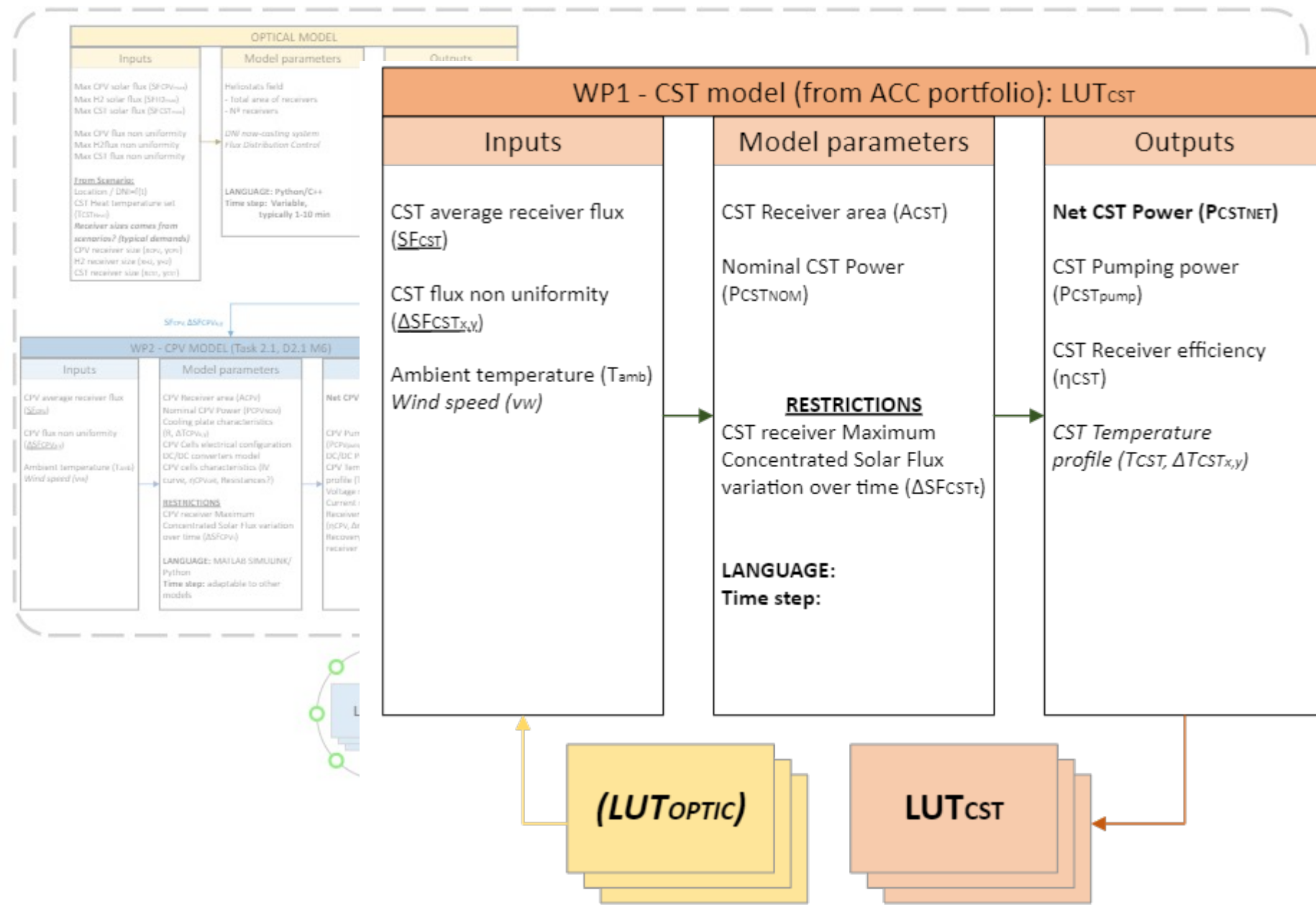
Funded by the European Union (grant no. 101084158) and supported by the Swiss State Secretariat for Education, Research and Innovation (SERI). Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Climate, Infrastructure and Environment Executive Agency (CINEA). Neither the European Union nor the granting authority can be held responsible for them.

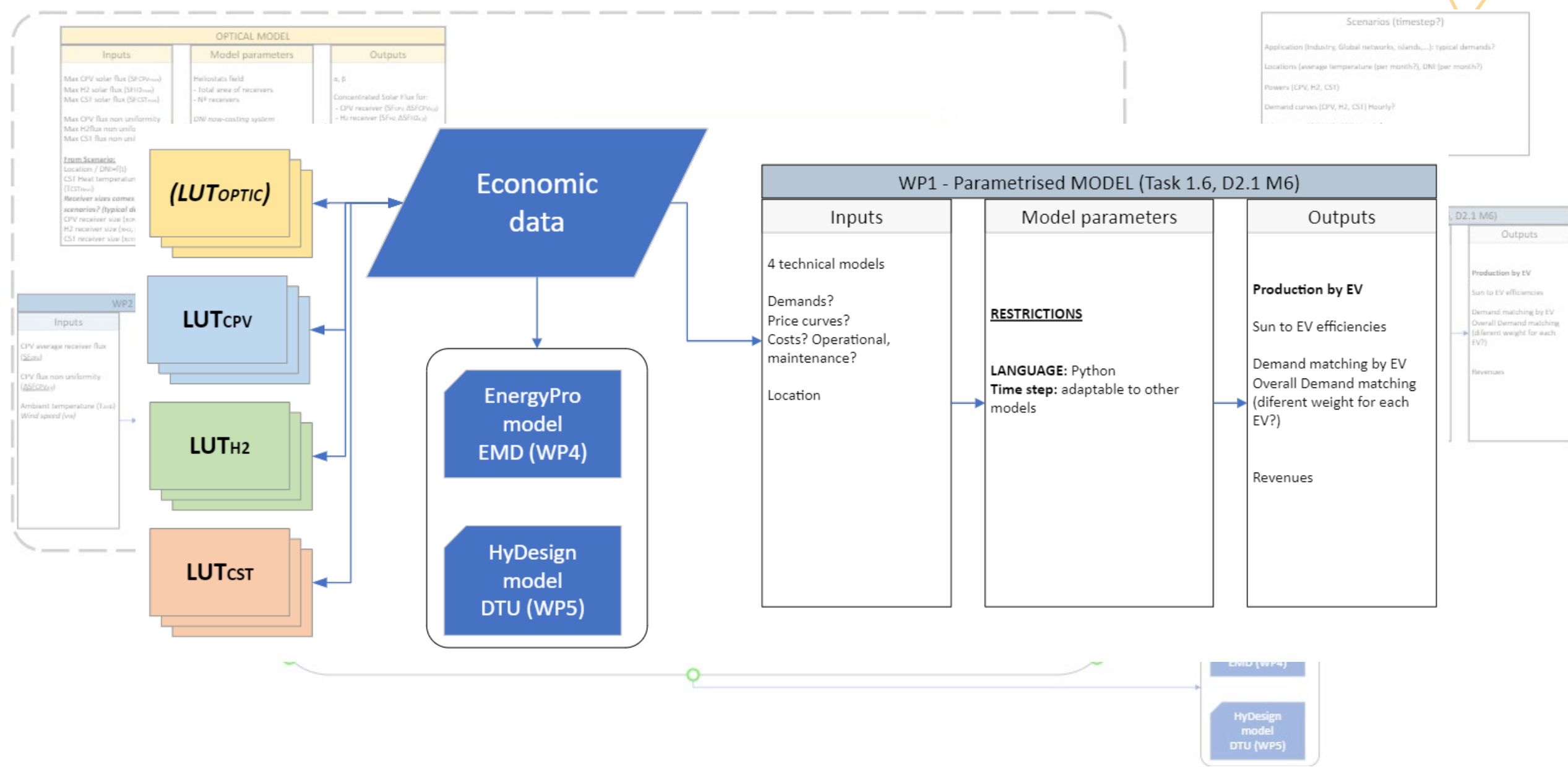










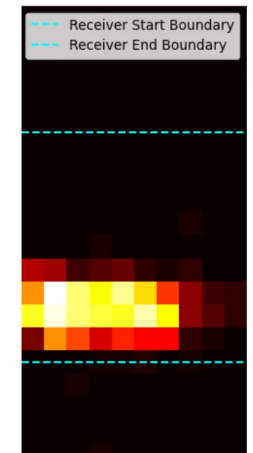
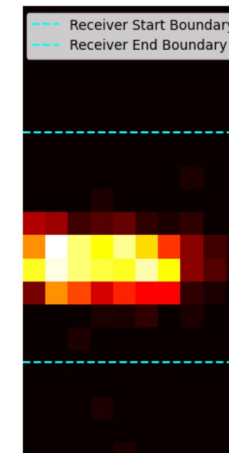


# T1.3 Adaptative aiming strategy

## Objective

Provide **flexible aiming options** by creating optimized heliostat aim strategies for the Cerro Dominador:  
**trade-off between maximizing efficiency and ensuring receiver integrity.**

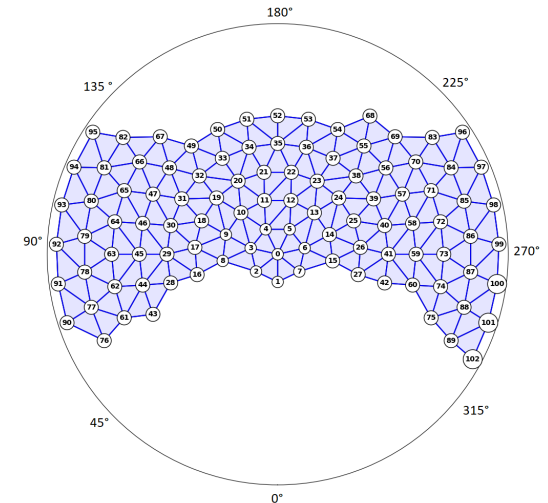
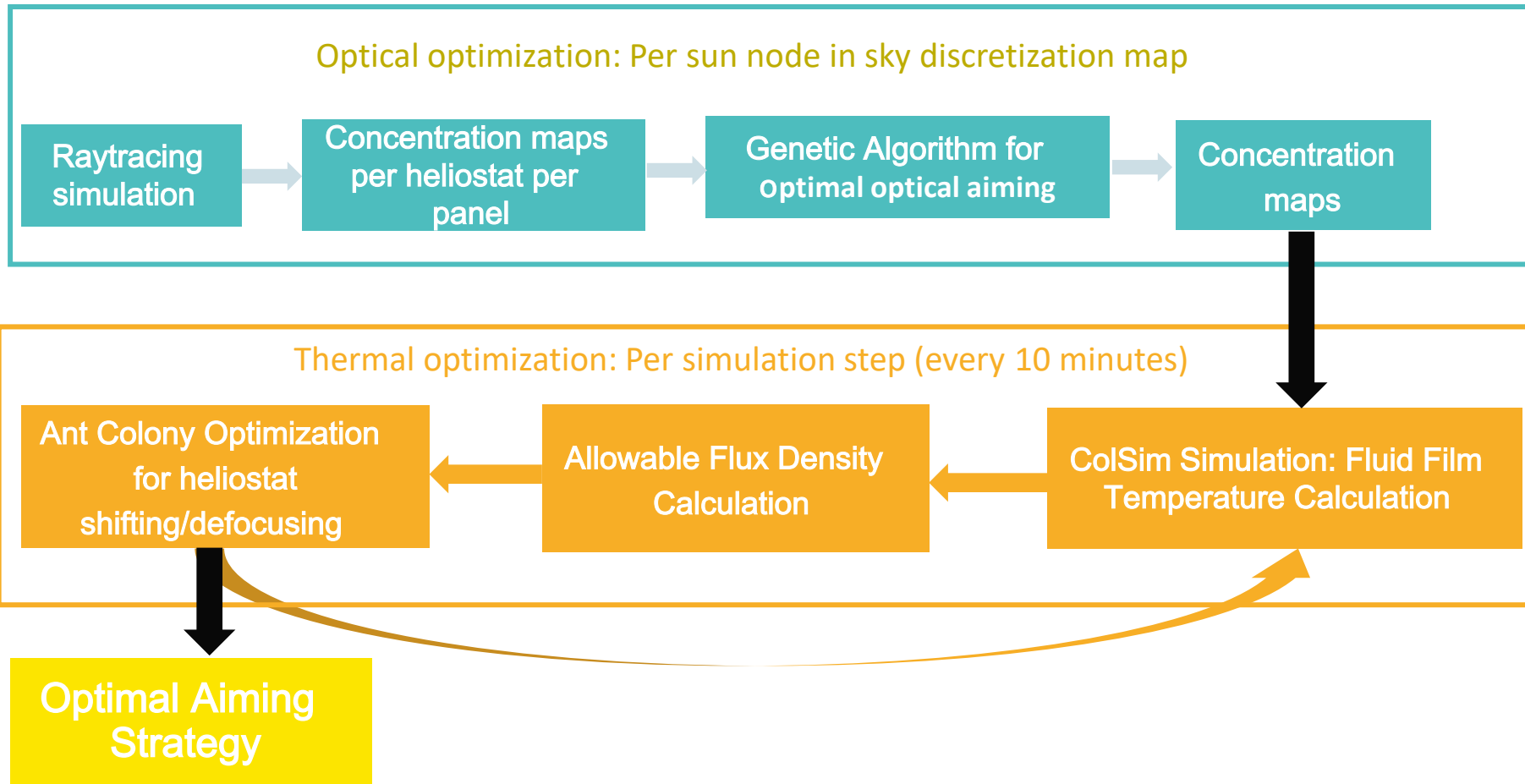
ARIA method to implement aim point optimizations → enable testing of different aiming strategies



2D concentration map of a heliostat on one panel

# T1.3 Adaptative aiming strategy

## External thermal receiver: Methodology



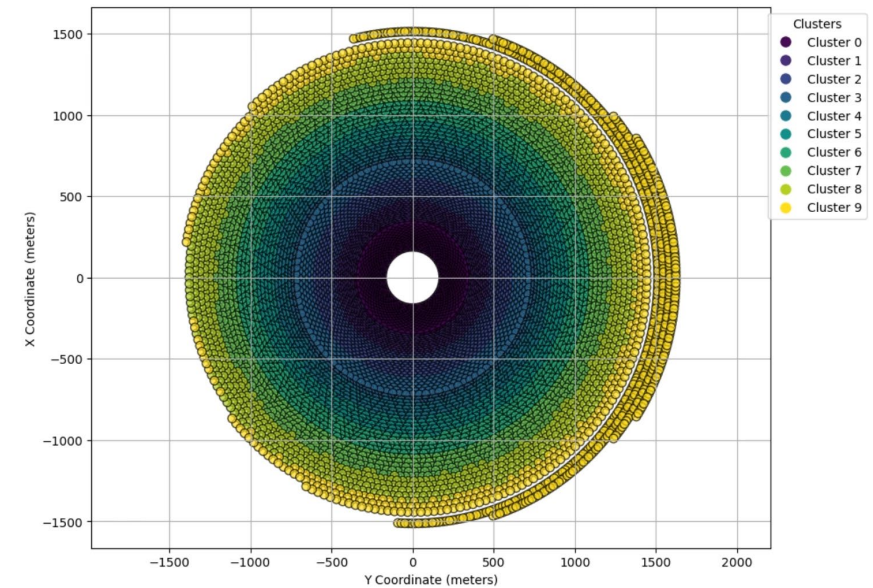
Sky discretization map for the sun's movement throughout the year at Cerro Dominador

# T1.3 Adaptative aiming strategy

## Optical Optimization

Two heliostat field clustering methods considered:

- Distance based: Clusters based on their relative distance to the receiver.
- Cosine loss based: Cluster based on the energy loss due to the angle between sun and heliostat (cosine effect).



Distance based clustering of the heliostat field

### Genetic algorithm:

The GA to find the optimal **number of clusters** and **optimal aim points** on the receiver per sun position.

Weighted sum of (lower fitness value indicates a better solution):

- **Total Spillage:** The amount of flux that misses the receiver.
- **Concentration standard deviation:** Measures uniformity in concentration distribution.

# T1.3 Adaptative aiming strategy

## Thermal Optimization

### Allowable flux density (AFD) modelling:

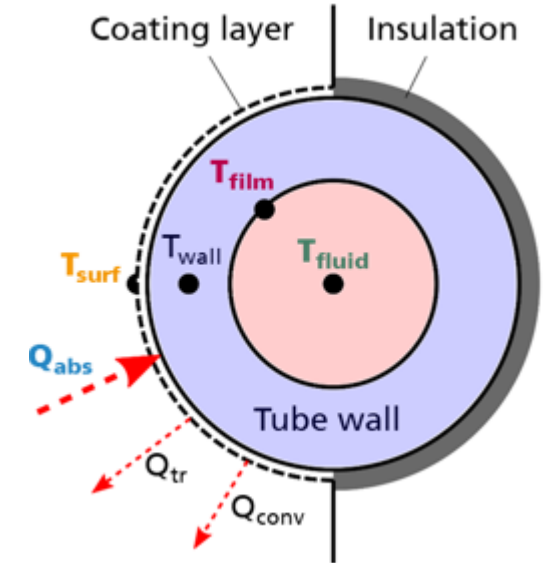
Determination of the **exceeding flux density** to maintain safe thermal levels for the molten salt from row-by-row:

- $AFD < 0$ : excess concentration  $\rightarrow$  determines amounts of heliostat to be shifted
- $AFD > 0$ : flexibility to have additional concentration from negative regions

### Ant Colony Optimization (ACO):

Nature-inspired algorithm simulating ant foraging behavior: Ants (candidate solutions) search for optimal heliostat-aim-point combinations based on the shift factor equation.

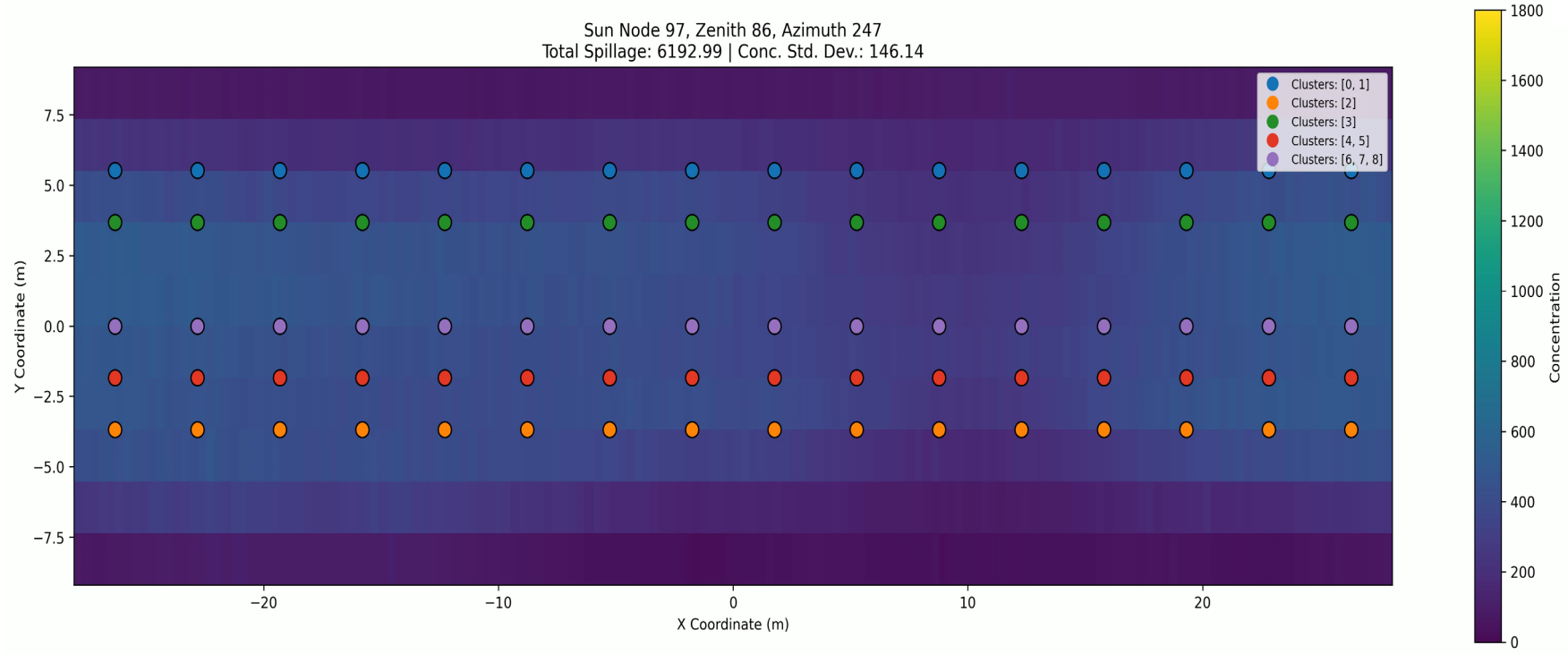
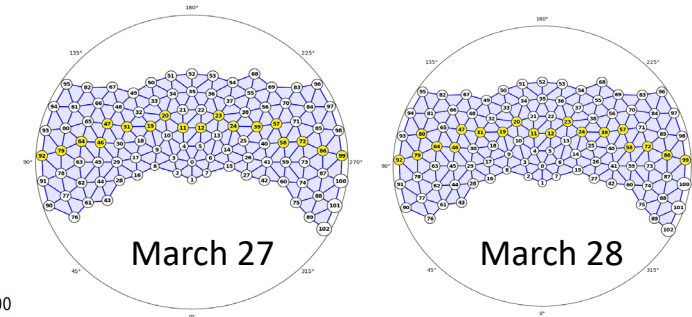
- Parameters: Shift factor per heliostat and cluster
- Termination Criteria: Flux density reaches AFD limit while minimizing spillage.



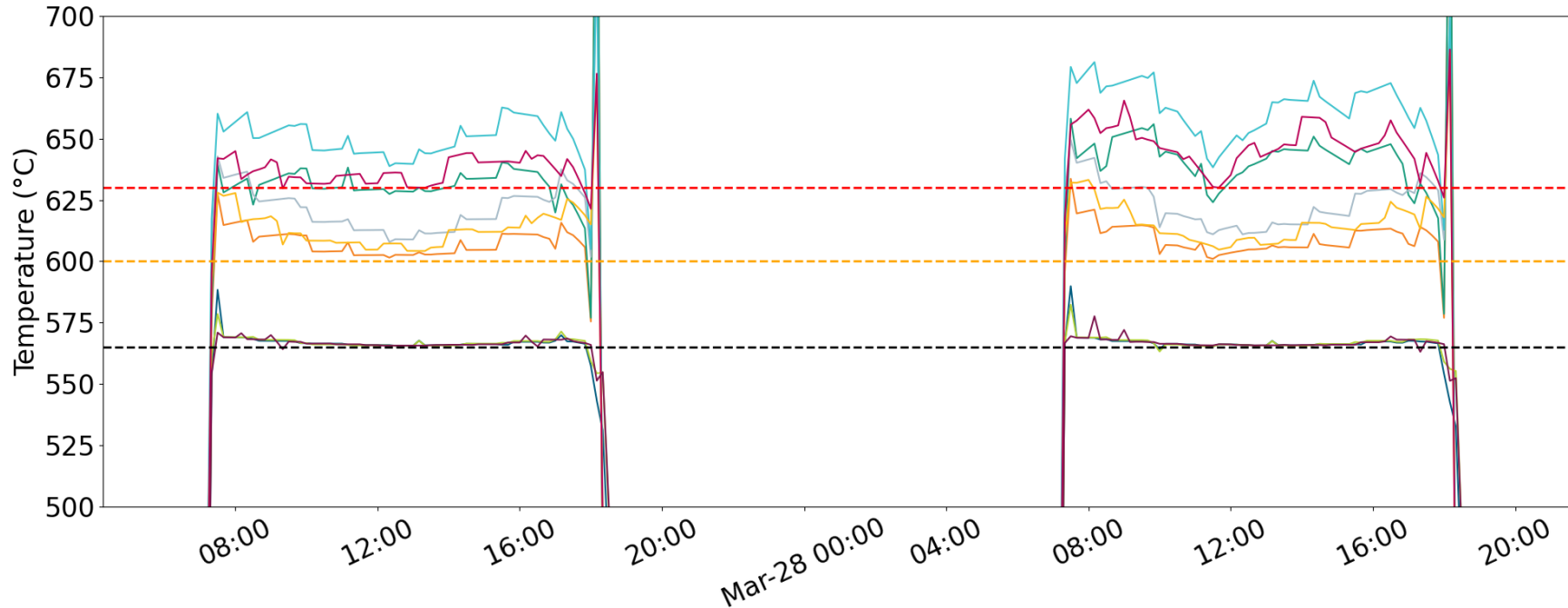
# T1.3 Adaptative aiming strategy Optical Optimization Results



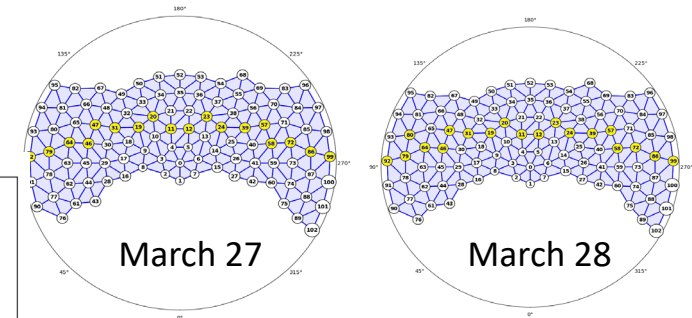
Geneticalgorithm aiming optimization resultsfor distancebasedculstering



# T1.3 Adaptative aiming strategy Thermal Optimization Results (no defocusing)



- CV\_0327\_0328 T\_film\_max
- CV\_0327\_0328 T\_surface\_max
- CV\_0327\_0328 T\_bulk\_max
- CD\_reference\_0327\_0328 T\_film\_max
- CD\_reference\_0327\_0328 T\_surface\_max
- CD\_reference\_0327\_0328 T\_bulk\_max
- time\_step\_reports\_0327\_0328\_shifted\_maps\_distance\_alpha\_75\_beta\_25\_10t T\_film\_max
- time\_step\_reports\_0327\_0328\_shifted\_maps\_distance\_alpha\_75\_beta\_25\_10t T\_surface\_max
- time\_step\_reports\_0327\_0328\_shifted\_maps\_distance\_alpha\_75\_beta\_25\_10t T\_bulk\_max



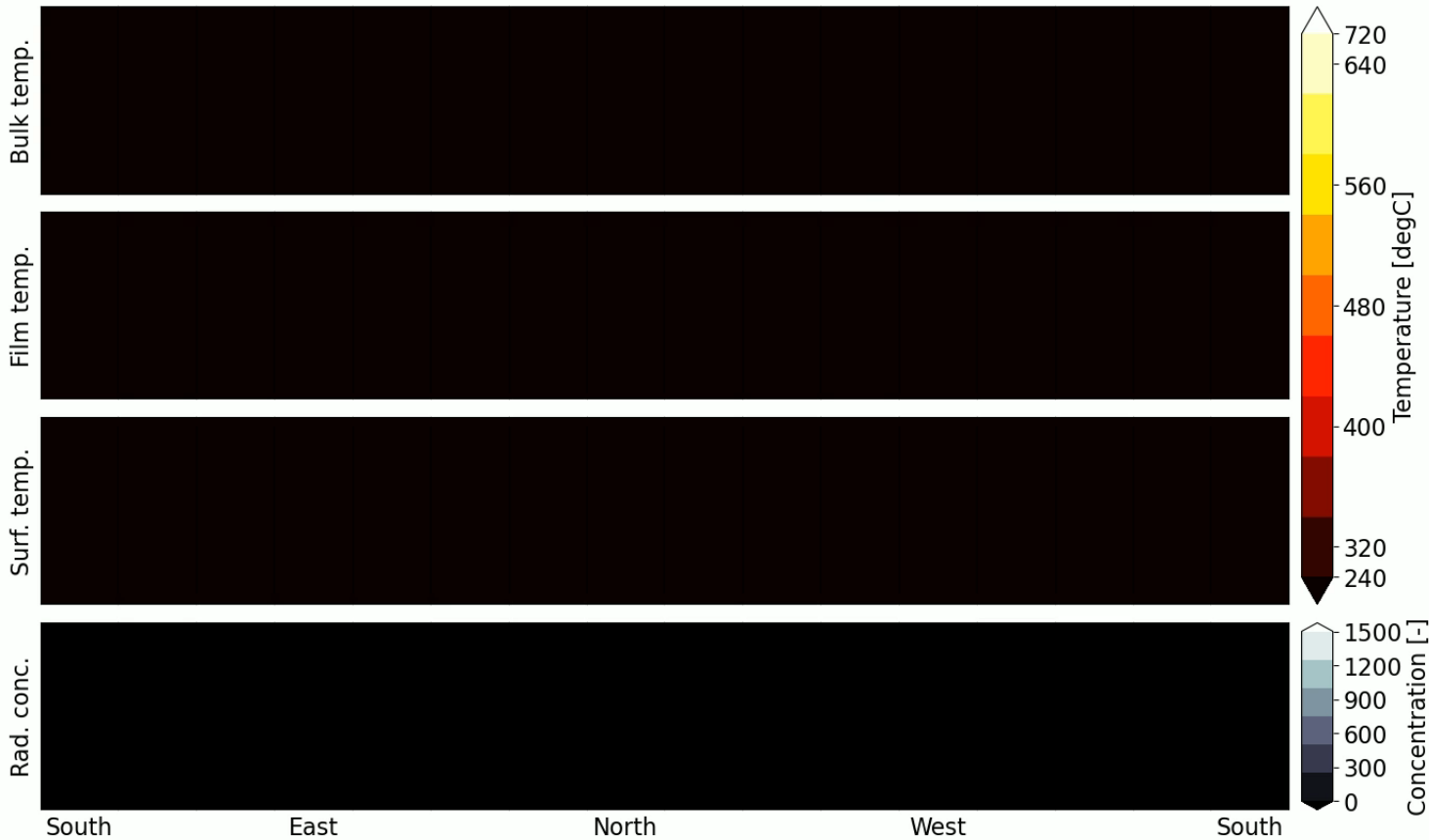
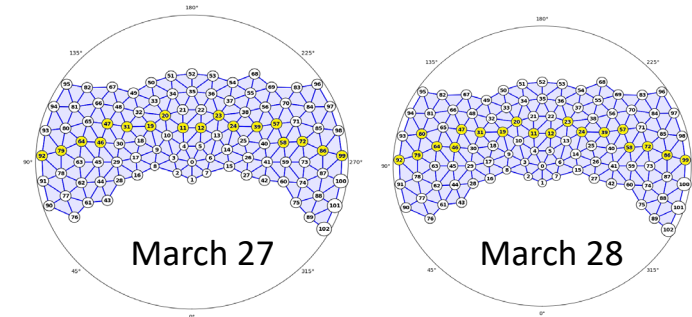
# T1.3 Adaptative aiming strategy Thermal Optimization Results (no defocusing)



date: Mar 27, time: 06:10:00, zen: 98.7, azm: -88.6

DNI: 0.0, max. conc.: 0.0

max. bulk temp.: 290.0 degC, max. film temp.: 206.6 degC, max. surface temp.: 206.3 degC



| Solar Gain (MWh)       |                   |                          |                     |
|------------------------|-------------------|--------------------------|---------------------|
| Date                   | Equitorial Aiming | Circumferential Vertical | CD Reference Aiming |
| 27.03.2005             | 5638,9            | 5694,4                   | 5583,3              |
| 28.03.2005             | 7472,2            | 7527,8                   | 7416,7              |
| HTF Average Power (MW) |                   |                          |                     |
| Date                   | Equitorial Aiming | Circumferential Vertical | CD Reference Aiming |
| 27.03.2005             | 221,1             | 223,4                    | 219,9               |
| 28.03.2005             | 296,3             | 29,9                     | 294,0               |

# T1.3 Adaptative aiming strategy Thermal Optimization Results (with defocusing)

